

ROTATING EQUIPMENT DIAGNOSTIC SYSTEM AND ADAPTIVE  
CONTROLLER

The present invention relates to process control and  
5 process monitoring, particularly to control and monitoring  
of rotating equipment through the use of machine status  
classification where, in one embodiment, adaptive control  
measures responsive to the machine status are implemented.

As automation of production facilities and manufacturing  
10 processes has progressed, the number of human operators  
working with consistent attention to machines used in  
those facilities and processes has decreased; in  
compensating for this diminishing intimate involvement of  
operating technicians with the machines, quality control  
15 and quality assurance monitoring with computers programmed  
to mirror human logical and intuitive understanding has  
gained importance. Automatic diagnostic systems utilize  
pattern recognition, embedded rules, and functional  
relationships to characterize measurements of the  
20 monitored machine in operation; and a human expert  
frequently is involved in helping to interpret the  
measurements. Expert rule sets, classifiers, neural  
network-based analysis, and fuzzy-logic systems are  
gradually extending the productivity of human experts in  
25 providing automated systems which can generate routine  
feedback and status determination. As one example of a  
product in this area, Bently Nevada has developed Machine  
Condition Manager<sup>TM</sup> 2000 (Machine Condition Manager is a  
trademark of Bently Nevada Corporation) using Gensym  
30 Corporation's G2<sup>TM</sup> (G2 is a trademark of Gensym  
Corporation) product.

An earlier important publication in this area of technology was the Dissertation "Classification of Vibration Signals By Methods of Fuzzy Pattern Recognition" (Klassifikation von Schwingungssignalen mit Methoden der unscharfen Mustererkennung") by Dr. J. Strackeljan (a named inventor in this application) on June 4, 1993 at the Technical University of Clausthal. The work describes an approach and a formalized methodology for a feature extraction process and classification algorithm as a basic element in a new type of integrated system for machine diagnosis and machine operation decision support. Other earlier feature selection publications of note are:

Chang, C., "Dynamic Programming as applied to Feature Subset Selection in a Pattern Recognition System", IEEE Transactions on Systems, Man and Cybernetics, 1973, No. 3, S.166-171;

Chien, Y.T., "Selection and Ordering of Feature Observations in a Pattern Recognition System", Information and Control, 1968, No. 12, pp.394-414;

Fu, K.S., "Sequential Methods in Pattern Recognition and Machine learning", Academic Press, New York, 1968;

Fukunaga, K., "Repression of Random Processes using finite Karhuen-Loewe-Expansion", Information and Control, Vol. 16, 1970, S. 85--101; and

Fukunaga, K., "Systematic Feature Extraction", IEEE Transactions on pattern Analyses, Nr. 3, 1982.

One of the needs in use of classification systems relates to handling of anomalous measurements which do not initially appear to belong to any predefined status class. There is also a need for a machine diagnosis system which

5 can be configured to diagnose a particular machine within a few days of the date of installation of the machine. Another emerging need in the art is for an approach which assimilates very large classification feature sets as the number of sensors (and the affiliated number of derived

10 classification features) which can be simultaneously monitored by one CPU continues to increase. There is also an ongoing need for new feature types so that the diagnostic facility of the systems is rendered from an ever-improving datalogical reference frame. The

15 Strackeljan Dissertation describes an approach for rapidly and efficiently resolving a large number of predictive features into a usefully defined subset of those features; this efficient approach is valuable in providing a basis for a system which can adapt its learning set in response

20 to anomalous measurements even as it continues to provide real-time classification services. The present invention incorporates the approach described in the Strackeljan thesis along with further developments in providing solutions to all of the above-identified needs.

25 Further features and details of the invention are appreciated from a study of the Figures and Detailed Description of the Preferred Embodiments.

The invention provides a computer-implemented monitoring system, characterized by:

a toolbox of machine analysis data feature tools, each data feature tool having a predetermined set of candidate data features for a type of sensor and related machine component in a unified mechanical component assembly;

- 5 means for designating one said data feature tool for classifying use respective to at least one defined class;

means for measuring an input signal from said sensor;

means for collecting a plurality of said measured input signals as a measured input signal set;

- 10 means for obtaining a human-determined class affiliation parameter value for each measured input signal in said measured input signal set;

means for calculating a feature value set respective to each measured input signal and respective to at least one

- 15 data feature from said set of candidate data features;

- means for deriving a classifier reference parameters instance from the feature value set and associated human-determined class affiliation parameter values respective to said measured input signal set and from a plurality of
- 20 said candidate data features;

a classifier for defining a computer-determined class affiliation parameter value for a measured input signal respective to each class defined, said classifier in data communication with said classifier reference parameters

instance to define each computer-determined class  
affiliation parameter value;

means for selecting a subset of data features from said  
candidate data features, said means for selecting in data  
5 communication with said measured input signal set, said  
associated human-determined class affiliation parameter  
values, said means for deriving a classifier reference  
parameter instance, and said classifier;

means for retaining the classifier reference parameters  
10 instance respective to said selected subset of features as  
a real-time reference parameter set;

means for graphically displaying at least one computer-  
determined class affiliation parameter value respective to  
an input signal measured in real-time from said assembly  
15 and respective to said real-time reference parameter set;  
and

a real-time executive means for directing the operation of  
said means for measuring input signals, said means for  
calculating a feature value set, said classifier, and said  
20 means for graphically displaying so that a graphical  
display of at least one computer-determined class  
affiliation parameter value is implemented in real-time  
respective to an input signal measured in real-time from  
said assembly.

25 The invention further provides a computer-implemented  
monitoring system, characterized by:

a toolbox of machine analysis data feature tools, each data feature tool having a predetermined set of candidate data features for a type of sensor and related machine component in a unified mechanical component assembly;

- 5 means for designating one said data feature tool for classifying use respective to at least one defined class and a particular sensor;

means for measuring an input signal from said sensor;

- 10 means for determining at least-one computer-determined class affiliation parameter value for any said input signal respective to said candidate data features;

means for graphically displaying said class affiliation parameter value respective to said input signal when measured in real-time from said assembly; and

- 15 a real-time executive means for directing the operation of said means for measuring, said means for determining, and said means for graphically displaying so that a graphical display of at least one computer-determined class affiliation parameter value is implemented in real-time  
20 respective to an input signal measured in real-time from said assembly.

The invention further provides a computer-implemented monitoring system for monitoring a sensor and related machine component in a mechanical component assembly,  
25 characterized by:

a predetermined set of candidate data features for classifying said sensor respective to at least two defined classes;

- 5 means for real-time measurement of an input signal from said sensor;

means for determining a first computer-determined class affiliation parameter value for said input signal from  
10 said candidate data feature set in reference to a first classifying parameter set respective to a first class, and a second computer-determined class affiliation parameter value for said input signal from said candidate data feature set in reference to a second classifying parameter  
15 set respective to a second class;

means for deriving, during real-time measurement and class affiliation parameter value determination, a third  
20 classifying parameter set for said input signal respective to said first class and a fourth classifying parameter set for said input signal respective to said second class when all computer-determined class affiliation parameter values respective to an input signal measurement in real-time have a quantity less than a predetermined threshold value,  
25 said third and fourth classifying parameter sets incorporating the influence of said input signal measurement; and

means for replacing said first and second classifying  
30 parameter sets respectively with said third and fourth classifying parameter sets so that said third and fourth classifying parameter sets respectively become new said first and second classifying parameter sets when said

third and fourth classifying parameter sets have been derived.

The invention further provides a computer-implemented system for classifying a type of sensor and related  
5 machine component in a unified mechanical component assembly, characterized by:

means for deriving a dimensionless peak amplitude data feature;

means for measuring an input signal from said sensor;

10 means for obtaining a class affiliation parameter value for said measured input signal respective to said dimensionless peak amplitude feature..

The invention further provides a computer-implemented system for classifying a type of sensor and related  
15 machine component in a unified mechanical component assembly, characterized by:

means for deriving a dimensionless peak separation feature;

means for measuring an input signal from said sensor;

20 means for obtaining a class affiliation parameter value for said measured input signal respective to said dimensionless peak separation feature.



The invention further provides a computer-implemented method, characterized by the steps of:

- providing a toolbox of machine analysis data feature tools, each data feature tool having a predetermined set  
5 of candidate data features for a type of sensor and related machine component in a unified mechanical component assembly;
- designating one said data feature tool for classifying use respective to at least one defined class;
- 10 measuring an input signal from said sensor;
- collecting a plurality of said measured input signals as a measured input signal set;
- obtaining a human-determined class affiliation parameter value for each measured input signal in said measured  
15 input signal set;
- calculating a feature value set respective to each measured input signal and respective to at least one data feature from said set of candidate data features;
- deriving a classifier reference parameters instance from  
20 the feature value set and associated human-determined class affiliation parameter values respective to said measured input signal set and from a plurality of said candidate data features;

using a classifier in defining a computer-determined class affiliation parameter value from said classifier reference parameters instance for a measured input signal respective to each class defined;

- 5 selecting a subset of data features from said candidate data features, said measured input signal set, said associated human-determined class affiliation parameter values, a plurality of said derived classifier reference parameter instances, and said classifier by evaluating a  
10 plurality of data feature combinations until acceptable classification is achieved;

retaining the classifier reference parameters instance respective to said selected subset of features as a real-time reference parameter set;

- 15 classifying in real-time said measured input signal from said real-time reference parameter set to establish a real-time computer-determined class affiliation parameter value; and

- graphically displaying in real-time said real-time  
20 computer-determined class affiliation parameter value so that a graphical display of at least one computer-determined class affiliation parameter value is implemented in real-time respective to an input signal measured in real-time from said assembly.

- 25 The invention further provides a computer-implemented method, characterized by the steps of:

providing a toolbox of machine analysis data feature tools, each data feature tool having a predetermined set of candidate data features for a type of sensor and related machine component in a unified mechanical  
5 component assembly;

designating one said data feature tool for classifying use respective to at least one defined class and a particular sensor;

measuring an input signal from said sensor;

10 determining at least-one computer-determined class affiliation parameter value for any said input signal respective to said candidate data features;

graphically displaying said class affiliation parameter value respective to said input signal when measured in  
15 real-time from said assembly; and

directing the operation of said steps of measuring, determining, and graphically displaying so that a graphical display of at least one computer-determined class affiliation parameter value is implemented in real-  
20 time respective to an input signal measured in real-time from said assembly.

The invention further provides a computer-implemented method for monitoring a sensor and related machine component in a mechanical component assembly,  
25 characterized by the steps of:

providing a predetermined set of candidate data features for classifying said sensor respective to at least two defined classes;

measuring in real-time an input signal from said sensor;

- 5 determining a first computer-determined class affiliation parameter value for said input signal from said candidate data feature set in reference to a first classifying parameter set respective to a first class;

- 10 determining a second computer-determined class affiliation parameter value for said input signal from said candidate data feature set in reference to a second classifying parameter set respective to a second class;

- 15 deriving, during said real-time measuring and determining steps, a third classifying parameter set for said input signal respective to said first class and a fourth classifying parameter set for said input signal respective to said second class when all computer-determined class affiliation parameter values respective to an input signal measurement in real-time have a quantity less than a  
20 predetermined threshold value, said third and fourth classifying parameter sets incorporating the influence of said input signal measurement; and

- replacing said first and second classifying parameter sets respectively with said third and fourth classifying  
25 parameter sets so that said third and fourth classifying parameter sets respectively become new said first and second classifying parameter sets when said third and fourth classifying parameter sets have been derived.

The invention further provides a computer-implemented method for classifying a type of sensor and related machine component in a unified mechanical component assembly, characterized by:

- 5 deriving a dimensionless peak amplitude data feature;

measuring an input signal from said sensor;

obtaining a class affiliation parameter value for said measured input signal respective to said dimensionless peak amplitude feature.

- 10 The invention further provides a computer-implemented method for classifying a type of sensor and related machine component in a unified mechanical component assembly, characterized by:

deriving a dimensionless peak separation feature;

- 15 measuring an input signal from said sensor;

obtaining a class affiliation parameter value for said measured input signal respective to said dimensionless peak separation feature.

- 20 The invention further provides a computer-implemented method for classifying a type of sensor and related machine component in a unified mechanical component assembly, characterized by the steps of:

defining a feature set for classification from a set of  
candidate features and a learning database using  
evolutionary selection, said learning database having a  
set of evaluated instances, said evolutionary selection  
5 having the sequential operations of:

defining a population size for a population of  
feature combination instances;

defining a set of evaluation features for said  
population from said set of candidate features;

10 defining an evaluation feature set size;

randomly selecting, from said candidate  
features, a population instance of feature set  
instances of said evaluation feature set size,  
said population instance having said population  
15 size;

training a classifier according to said  
population instance and said learning database;

evaluating the prediction capability of each  
feature set instance using said trained  
20 classifier;

designating said feature set instance as a real-  
time classification feature set if said  
evaluating fulfills a criteria;

selecting, if said criteria is unfulfilled, a subset group of said feature set instances according to said evaluated prediction capabilities;

5           generating a child subset group of said feature set instances by randomly selecting one of said features from each of two randomly chosen feature set instances and combining each of said selected features into a new feature set  
10           instance;

          mutating said new feature set instance by randomly selecting one of said features in said new feature set instance and replacing said selected feature with a randomly selected  
15           feature from said set of evaluation features for said population with the proviso that said replacement feature is other than either of said features in said new feature set instance prior to initiation of said mutating operation;

20           defining a new population instance from said subset group and at least one said mutated feature set instance with the proviso that said mutating operating is executed until said new population instance achieves said population  
25           size; and

          returning to said training operation;

          acquiring a set of features in real-time from said sensor;  
          and

classifying said acquired set of features by using said real-time classification feature set.

Other features, advantages, and benefits of the invention are readily apparent from the detailed description of the preferred embodiments when taken in connection with the  
5 accompanying drawings.

Figure 1 presents a block diagram of the monitoring system and auxiliary systems as they operate and monitor a manufacturing apparatus.

10 Figure 2 shows detail in the galvanic isolation and signal filtering board.

Figure 3 shows the band pass filter circuit used on the galvanic isolation and signal filtering board.

15 Figure 4 presents a block flow overview of key logical components of the monitoring system.

Figure 5 presents a block flow overview of signal conditioning logical components of the monitoring system.

Figure 6 presents a block flow diagram of the real-time executive logic in the monitoring system.

20 Figure 7 presents detail of functions performed at the direction of the real-time control block.

Figure 8 presents a block flow diagram of the human interface logic in the monitoring system.



Figures 9A and 9B present a block flow diagram of the pattern recognition logic in the monitoring system. .

Figure 10 presents detail in a decision function set of the pattern recognition logic.

- 5 Figure 11 presents a block flow diagram of the signal and data I/O and logging logic in the monitoring system.

Figure 12 presents detail in the tool-specific feature derivation functions.

- 10 Figure 13 presents a block flow diagram of the reference data logic in the monitoring system.

Figure 14 presents details for a machine analysis toolbox.

Figure 15 presents an overview flowchart of the organization of key information in constructing and using preferred embodiments.

- 15 Figure 16 presents a flowchart of key classification steps.

- Figure 17 presents a flowchart detailing decisions in use of progressive feature selection, evolutionary feature selection, neural network classification, and weighted  
20 distance classification.

Figure 18 presents detail in the weighted distance method of classifying and progressive feature selection.

Figure 19 illustrates auxiliary detail in the progressive feature selection process of Figure 18.

Figure 20 presents detail in the neural network method of classifying and in evolutionary feature selection.

- 5 Figures 21A-21D illustrate detail in an evolutionary feature selection example.

10 Figure 22 presents an overview of interactive methods and data schema in the preferred embodiments for use of the weighted distance classification method and a progressive feature selection methodology.

Figure 23 presents an overview of interactive methods and data schema in the preferred embodiments for use of the neural network classification method and an evolutionary feature selection methodology.

- 15 Figure 24 presents a unified mechanical assembly of machine components and attached sensors.

Figure 25 presents a block flow summary showing toolbox development information flow for a particular set of unified mechanical assemblies and machine components.

- 20 Figure 26 presents a view of key logical components, connections, and information flows in use of the monitoring system in a monitoring use of the preferred embodiment.

Figure 27 presents a view of key logical components, connections, and information flows in use of the monitoring system in an adaptive control use of the preferred embodiment.

- 5 Figure 28 shows an example of a graphical icon depiction of class affiliation parameter values in normalized form.

Figure 29 shows an example of a graphical icon depiction of class affiliation parameter values in non-normalized form.

- 10 In describing the preferred embodiments, a number of "logical engines" ("engines") are characterized in interaction with data structural elements. In this regard, computer-implemented logical engines generally reference virtual functional elements within the logic of a computer
- 15 which primarily perform tasks which read data, write data, calculate data, and perform decision operations related to data. "Logical engines" ("engines") optionally provide some limited data storage related to indicators, counters, and pointers, but most data storage within computer-
- 20 implemented logic is facilitated within data structural elements (data schema) which hold data and information related to the use of the logic in a specific instance; these data structural element logical sections are frequently termed as "tables", "databases", "data
- 25 sections", and/or "data commons". Data structural elements are primarily dedicated to holding data instead of performing tasks on data and usually contain a generally-identified stored set of information. "Logical engines" ("engines") within computer-implemented logic
- 30 usually perform a generally identified function. As a

design consideration, the use of both logical engines and logical tools within a logical system enables a useful separation of the logical system into focused or abstracted subcomponents which can each be efficiently considered, designed, studied, and enhanced within a separately focused and distinctively particularized context. As should be apparent, some of the logical internal systems represent distinctive areas of specialty in their own right, even as they are incorporated into the comprehensive and holistic system represented by each of the described embodiments. In one context, specific engines are individual executable files, linked files, and subroutine files which have been compiled into a unified logical entity. Alternatively, specific engines are combinations of individual executable files, linked files, subroutine files, and data files which are datalogically linked either in unified form or in a dynamically associated manner by the operating system during execution.

The specification also references the term "Real-Time" (real-time, real time, Real-time); to facilitate clarity, the following paragraph presents a discussion of the Real-Time concept.

Real-time computer processing is generically defined as a method of computer processing in which an event causes a given reaction within an actual time limit and wherein computer actions are specifically controlled within the context of and by external conditions and actual times. As an associated clarification in the realm of process control, real-time computer-controlled processing relates to the performance of associated process control logical, decision, and quantitative operations intrinsic to a

process control decision program functioning to monitor and modify a controlled apparatus implementing a real-time process wherein the process control decision program is periodically executed with fairly high frequency usually  
5 having a period of between 10 ms and 2 seconds, although other time periods are also utilized. In the case of "advanced" control routines (such as the classifier of the described embodiments) where a single solution instance requires more extended computational time, a larger period  
10 is essentially necessary (frequency in determination of changes in control element settings should be executed at a frequency equal-to-or-less-than the frequency of relevant variable measurement); however, an extended period for resolution of a particular value used in  
15 control is still determined in real-time if its period of determination is repetitive on a reasonably predictable basis and is sufficient for utility in adaptive control of the operating mechanical assembly.

A measuring sensor attached to an apparatus usually  
20 outputs a voltage or voltage equivalent responsive to an attribute of the operational apparatus (for example, an open valve or an energized pump) and/or conditions (for example, fluid temperature or fluid pressure) in the materials operationally processed by the apparatus.

25 A signal (measured signal) represents the magnitude of the voltage either as a data value at a particular moment of time or, alternatively, as a set of data values where each data value has an explicit or implicit (via sequential ordering) association with a time attribute. The term  
30 "signal" in many instances also references the voltage or voltage history as converted to data value representation.

The signal is evaluated in the context of a function to derive specific signal function attributes; these signal attributes are also termed features (Features) both (a) as a descriptive term generally and also (b) as a reference variable in pattern-matching processes such as "classification". In this regard, Features frequently reference a variable possessing a joining consideration or datalogical nexus between (a) an attribute derived in the context of a function from the measured signal and (b) a variable used in a classifier. A feature value generally represents a particular quantitative data value which has been assigned-to and associated-with a feature variable respective to a signal measurement instance.

Classifiers generally associate features - more specifically, patterns of features - with a membership (association, belonging, and/or affiliation) of the operational apparatus (generating the features) in a particular momentary status of identified useful categorization (a class); in this regard, membership is either (a) a designation, in one context, of belonging to the class or (b) a designation, in an alternative context, of not belonging to the class. Classes frequently are representative of human quality evaluations and/or judgements (for example a "good" class, a "bad" class, and/or a "transitional" class which represent, respectively, a "good" state of operational performance, a "bad" state of operational performance, and/or an "uncertain or transitioning" state of operational performance). Membership also references a degree of belonging to a class - for example in a two class evaluation, a degree of affiliation with the two classes is characterized as "the current state of the system is 90 percent 'good' and 10 percent 'bad' "; more precisely, the

concept of "sharpness" further references the quantitative confidence with which a particular classified measurement instance (in the context of its affiliated classifying feature set) is clearly affiliated with any  
5 class of the set of candidate classes for which membership is derived.

In classifying, Weighted Distance Classification and Euclidian Distance Classification reference certain overlapping situations; accordingly, references to  
10 Weighted Distance Classification herein implicitly includes appropriate use of Euclidian Distance Classification in the context of these similarities. In this regard, classification performance strongly depends on the ability of a particular classifier to adapt the  
15 distribution of a particular learning sample in an optimal manner. If a set of learning samples is represented in an essentially spherical distribution for all classes, the Euclidian metric is sometimes used. If the distribution is ellipsoidal, Weighted Distance approaches are optimal  
20 in coordinate directions weighted individually. In this regard, marginal samples are appraised similarly respective to different Euclidian distances. Essentially, the Euclidian metric is a special form of a Weighted Distance metric (when the weights are essentially equal  
25 for all directions); the inventors prefer, therefore, the use of a weighted distance classifier in general.

Turning now to the figures, Figure 1 presents a block diagram of the monitoring system and auxiliary systems as they operate and monitor a manufacturing apparatus.  
30 System Overview 100 presents key physical components in an fully applied embodiment. Monitor 102 provides a monitor for human (operator technician and configuration expert)

viewing of information and data. Process Information System 104 provides a process information system (a system for retaining and depicting information to operating technicians about data executing in an affiliated, attached, and interconnected real-time control system or group of real-time control systems but which is not under the highly rigorous real-time response cadence of a real-time control system for its communications) in bilateral data communication via Communications Interface 106 with Control Computer 108. Process Information System 104 incorporates Process Information CPU 134 for execution of Process Information Logic 136. Communications Interface 106 incorporates Communication Interface CPU 130 for execution of Communication Interface Logic 132. Control Computer 108 incorporates Control Computer CPU 126 for execution of Control Computer Logic 128 in real-time operational monitoring and control of Mechanical Assembly 124. Classification Computer System 110 provides Classification Computer CPU 138 for executing Classification Computer Logic 140 in implementing classification of the status of Mechanical Assembly 124. System Overview 100 is in bilateral data communication with Process Information System 104 for receiving a portion of input data as a data stream and for communicating the classification status of Mechanical Assembly 124 to Control Computer 108 so that Control Computer 108 controls Mechanical Assembly 124 in adaptive response to the classified status. Classification Computer System 110 also receives input data from Analog Input Signal 118 and Digital Input Signal 116 via Signal Filtering Board 114 and Data Acquisition Board 112. Data Acquisition Board 112 incorporates Analog-to-Digital-Converter Circuit 142 to effect conversion of analog voltages from Signal Filtering Board 114 into digital



data. Signal Filtering Board 114 incorporates Band-Pass-Filter Circuit 144 as further described in Filter Circuit Components 200 and Filter Circuit 300 of Figures 2 and 3. Digital Input Signal 116 is provided both as a direct  
5 signal to Signal Filtering Board 114 and to Control Signal Input Circuitry 148 where Control Signal Input Circuitry 148 is synchronous with the needs of Control Computer 108. Analog Input Signal 118 is provided both as a direct  
10 signal to Signal Filtering Board 114 and to Control Signal Input Circuitry 148 where Control Signal Input Circuitry 148 is appropriately synchronous with Control Computer 108. Digital Output Signal 120 and Analog Output Signal 122 provide output command signals from Control Signal Output Circuitry 150 to Mechanical Assembly 124 so that  
15 Control Computer 108 implements manipulated variables to modify attributes of Mechanical Assembly 124 and thereby control the operation of Mechanical Assembly 124 in real-time. An example of Control Computer 108 is described in WO Publication No. 00/65415, dated November 2, 2000,  
20 entitled "PROCESS CONTROL SYSTEM WITH INTEGRATED SAFETY CONTROL SYSTEM".

Mechanical Assembly 124 is a mechanical component assembly, which benefits from Classification Computer System 110 (1) by the provision of information to an  
25 operating technician of the classified status of the operating assembly and (2), optionally, by the incorporation of the classified status into control decisions effected by Control Computer Logic 128. The classified status is communicated to Control Computer  
30 Logic 128 via Process Information System 104 and Communications Interface 106. Mechanical Assembly 124 is, alternatively, in example and without limitation, a motor, a gearbox, a centrifuge, a steam turbine, a gas turbine, a

gas turbine operating with the benefit of wet compression, a chemical process, an internal combustion engine, a wheel, a furnace, a transmission, or an axle. With respect to wet compression, US Patent 5,867,977 for a  
5 "Method and Apparatus for Achieving Power Augmentation in Gas Turbines via Wet Compression" which issued on February 9, 1999 to Richard Zachary and Roger Hudson and also US Patent 5,930,990 which issued on August 3, 1999 to the same inventors provide a useful teaching of a gas turbine  
10 operating with the benefit of wet compression.

Network 146 is in bilateral data communication with Classification Computer System 110 and provides an interface via network with other systems. In an alternative embodiment, Process Information System 104  
15 interfaces with Classification Computer System 110 via Network 146; in a further alternative embodiment, Communications Interface 106 interfaces with Classification Computer System 110 via Network 146. Control Signal Input Circuitry 148 generically references  
20 a set of circuits which are respectively specific to Digital Input Signal 116 and Analog Input Signal 118 in interfacing to Control Computer 108.

Details in Process Information System 104, Communications Interface 106, Control Computer 108, Network 146, and Data  
25 Acquisition Board 112 should be apparent to those of skill and are presented here briefly to enable a framed understanding of preferred embodiments and their use. Details in Classification Computer Logic 140 and Signal Filtering Board 114 are focal in most subsequent  
30 discussion in this specification.

Figure 2 shows detail in the galvanic isolation and signal filtering board. Filter Circuit Components 200 shows further detail in Signal Filtering Board 114. Frequency Module 202 presents construction details in Frequency Modules 206. Band-Pass-Filter Circuitry Board 204 shows an embodiment of Signal Filtering Board 114 with a set of Frequency Modules 206, a set of Transformers 208, and a set of Input Capacitors 210 in electrical mounting as shown. As previously noted, an instance of Frequency Modules 206 is further detailed in Frequency Module 202 which is provided in 5 separate instances on Band-Pass-Filter Circuitry Board 204. Transformer 208 is provided in 5 separate instances on Band-Pass-Filter Circuitry Board 204. Input Capacitors 210 are also provided in 5 separate instances on Band-Pass-Filter Circuitry Board 204. Signal Wire Terminators 212 provide 5 separate wiring terminations for use in interfacing 5 separate instances of Analog Input Signal 118 to Data Acquisition Board 112. It should be noted that Digital Input Signal 116 is optionally routed in a pass-through manner to Classification Computer System 110 via Signal Filtering Board 114 and Data Acquisition Board 112, but most signals used by Classification Computer System 110 are of the Analog Input Signal 118 type. Frequency Capacitor "a" 214, Frequency Capacitor "b" 218, and Frequency Capacitor "c" 222 provide respective first, second, and third capacitors in Frequency Module 202. Frequency Inductor "a" 216 and Frequency Inductor "b" 220 provide respective first and second inductors in Frequency Module 202.

Figure 3 shows the band pass filter circuit used on the galvanic isolation and signal filtering board. Filter Circuit 300 shows one band pass filter circuit which is established by the combination of Input Capacitors 210

instance C1, Transformers 208 instance T1, and Frequency Modules 206 instance M1 with  $C_{a1}$  mapping to Frequency Capacitor "a" 214,  $L_{a1}$  mapping to Frequency Inductor "a" 216,  $C_{b1}$  mapping to Frequency Capacitor "b" 218,  $L_{b1}$  mapping to Frequency Inductor "b" 220, and  $C_{c1}$  mapping to Frequency Capacitor "c" 222. These are preferably characterized according to the following criteria of Table 1:

Table 1

Upper cut-off frequency:  $f_g = 2 \text{ Khz}$ Upper Cut-off Frequency  $f_g = 20 \text{ Khz}$ 

C 210      10  $\mu\text{F}/100\text{V}$        $L_a$     47  $\mu\text{H}$   
 $C_a$         330 nF/100V       $L_b$     47  $\mu\text{H}$   
 $C_b$         330 nF/100V  
 $C_c$         330 nF/100V

C 210      10  $\mu\text{F}/100\text{V}$        $L_a$     47  $\mu\text{H}$   
 $C_a$         47 nF/100V       $L_b$     47  $\mu\text{H}$   
 $C_b$         47 nF/100V  
 $C_c$         47 nF/100V

T 208      ST 6353 (signal transformer)

 $L_a, L_b$ : Micro coils

In one embodiment having two instances of Band-Pass-Filter Circuitry Board 204, a beneficial arrangement of Band-Pass-Filter Circuit 144 instances is shown in Table 2.

Table 2

Band-Pass-Filter Circuit 144 Configuration

I/O Channel 212	Frequency
S0	20 Khz
S1	20 Khz
S2	20 Khz
S3	2 Khz
S4	2 Khz
S5	20 Khz
S6	20 Khz
S7	20 Khz
S8	2 Khz
S9	2 Khz

Figure 4 presents a block flow overview of key logical components of the monitoring system. Classifying Logic 400 provides a first nested opening of Classification Computer Logic 140. Real-Time Executive Logic 402 is in bilateral data communication with Reference Data Logic 404, Human Interface Logic 412, Pattern Recognition Logic 406, and Signal I/O Logic 408 and is further discussed with respect to Real-Time Logic Detail 600 and Real-Time Function Detail 700 of Figures 6 and 7. As should be apparent, Real-Time Executive Logic 402 provides execution enablement data signals and multi-process and/or multitasking interrupts to all engines and other executable logic of Reference Data Logic 404, Human Interface Logic 412, Pattern Recognition Logic 406, and Signal I/O Logic 408 as needed and receives feedback and flagging inputs so that responsive logic is executed in a unified and coordinated real-time cadence. Reference Data Logic 404 also is in bilateral data communication with Human Interface Logic 412 and Pattern Recognition Logic 406 and is further discussed with respect to Reference Data Detail 1300 and Toolbox 1400 of Figures 13 and 14. Pattern Recognition Logic 406 also is in bilateral data communication with Signal I/O Logic 408 and Human Interface Logic 412 and is further discussed with respect to Pattern Recognition Logic Detail 900 and Decision Function Detail 1000 of Figures 9A, 9B, and 10. Signal I/O Logic 408 also is in bilateral data communication with Human Interface Logic 412 and is in data reading communication with Signal Conditioning Logic 410 and is further discussed with respect to Signal Logic Detail 1100 and Derivation Functions 1200 of Figures 11 and 12. Signal Conditioning Logic 410 reads Analog Input Signal 118 and Digital Input Signal 116 and provides values via read access to Signal I/O Logic 408; this logical section

is further discussed respective to Signal Conditioning Detail 500 of Figure 5. Human Interface Logic 412 interfaces to Monitor 102 to provide an interface with operating technicians; this logic is further detailed in the discussion respective to Interface Logic Detail 800 of Figure 8.

Figure 5 presents a block flow overview of signal conditioning logical components of the monitoring system. Signal Conditioning Detail 500 provides further detail in Signal Conditioning Logic 410 and also reprises Signal I/O Logic 408 along with Analog Input Signal 118 and Digital Input Signal 116 for reference. Analog Signal Input Buffer 504 holds data from Analog Value Input Logic 510 so that Signal I/O Logic 408 can read the data in a timely manner. Digital Signal Input Buffer 506 holds data from Digital Value Input Logic 508 so that Signal I/O Logic 408 can read the data in a timely manner. Digital Value Input Logic 508 provides a logical engine for real-time acquisition of Digital Input Signal 116 and interface of Digital Input Signal 116 to Digital Signal Input Buffer 506. It is again noted that use of Digital Input Signal 116 is relatively minimal at this time in the described embodiments, but use of Digital Input Signal 116 signals is certainly possible in certain contemplated circumstances (for example, without limitation, a machine "trip" indicator). The Analog Value Input Logic 510 engine provides logic necessary for real-time operation of Analog-to-Digital-Converter Circuit 142 and interface of Analog Input Signal 118 to Analog Signal Input Buffer 504.

Figure 6 presents a block flow diagram of the real-time executive logic in the monitoring system. Real-Time Logic Detail 600 provides further detail in Real-Time Executive

Logic 402 and also reprises Reference Data Logic 404, Pattern Recognition Logic 406, Human Interface Logic 412, and Signal I/O Logic 408 for reference. Real-Time Executive Engine 602 contains Control Block 604 for

5 providing cadenced execution of Classification Computer Logic 140. In this regard, Control Block 604 contains sub-logic for substantially directing Classification Computer CPU 138 to implement Classifying Logic 400 in achieving the goals of the classifying system using either

10 multi-process or multi-tasking approaches. Control Block 604 interfaces with routines in Function Set 606 in implementation of Classification Computer Logic 140. Further detail in Function Set 606 is presented in the discussion with respect to Real-Time Function Detail 700

15 of Figure 7. Control Block 604 is also responsive to status indicators as indicated in Mode ID 608. The "Configure", "Learn", and "Run" modes of operation are defined in one embodiment via input from Human Interface Logic 412 with human designation of the particular active

20 mode at any particular time.

Figure 7 presents detail of functions performed by use of the real-time control block. Real-Time Function Detail 700 shows further detail in Function Set 606. In this

25 regard, the internal functions of Function Set 606 are in bilateral data communication (that is, data read communication and data write communication in both directions as appropriate) with Control Block 604. Hardware Configuration Function 702 provides code in

30 interfacing Human Interface Logic 412 to Signal I/O Logic 408 for configuring Classification Computer System 110 to a particular set of Analog Input Signals 118 and Digital Input Signals 116. Sample Collection Function 704 provides code in interfacing Human Interface Logic 412 and

Signal I/O Logic 408 in acquiring sample data for use in customizing System Overview 100 to a particular Mechanical Assembly 124. Database Acquisition Function 706 provides code in interfacing Human Interface Logic 412 and

5 Reference Data Logic 404 to load learning databases into system 110. Tool Selection Function 708 provides code in interfacing Human Interface Logic 412 and Reference Data Logic 404 to define tools for use with particular signals. Component Selection Function 710 provides code in

10 interfacing Human Interface Logic 412 and Reference Data Logic 404 in defining components which can then define tools. Feature Calculation Function 712 provides code in interfacing Reference Data Logic 404 and Signal I/O Logic 408 to calculate features for use in Pattern Recognition

15 Logic 406. Feature Selection Function 714 provides code in interfacing Reference Data Logic 404 and Pattern Recognition Logic 406 in selecting features for classification use. Learning Function 716 provides code in interfacing Reference Data Logic 404, Human Interface

20 Logic 412, and Pattern Recognition Logic 406 in implementing a learning process to acquire a learning database. Classifier Definition Function 718 provides code in interfacing Reference Data Logic 404, Human Interface Logic 412, and Pattern Recognition Logic 406 in

25 defining a classifier. Real-Time Characterization Function 720 provides code in interfacing Reference Data Logic 404, Signal I/O Logic 408, Pattern Recognition Logic 406, and Human Interface Logic 412 in implementing real-time membership value determinations to classify

30 Mechanical Assembly 124 in operation. Adaptation Function 722 provides code in interfacing Human Interface Logic 412, Reference Data Logic 404, Pattern Recognition Logic 406, and Signal I/O Logic 408 in implementing adaptation of the classifying system in real-time to assimilate



learning related to measured signals or data which are not classifiable to an acceptable confidence with the existing classifier. Network Interfacing Function 724 provides code in interfacing Signal I/O Logic 408 and Human  
5 Interface Logic 412 with Network 146 or Process Information System 104. Display Function 726 provides code in interfacing Signal I/O Logic 408 and Human Interface Logic 412 and further in interfacing Human Interface Logic 412 and Monitor 102 so that an operating  
10 technician is apprised of the classification status of Mechanical Assembly 124 in operation.

Figure 8 presents a block flow diagram of the human interface logic in the monitoring system. Interface Logic  
15 Detail 800 presents expanded detail of Human Interface Logic 412. Real-Time Executive Logic 402, Reference Data Logic 404, Signal I/O Logic 408, and Pattern Recognition Logic 406 are reprised from Figure 4. Graphical Output Engine 802 is in bilateral data communication with Real-  
20 Time Executive Logic 402 for (1) data write communicating the occurrence of anomalous measured vectors (to Adaptation Function 722) as determined by Rework Engine 810 (and communicated from Associative Value Engine 812), (2) data read communication from functions in Function Set  
25 606 which output information to the operating technician, and (3) receipt of multi-process and/or multitasking interrupts and execution enablement data signals from Real-Time Executive Logic 402. Graphical Output Engine 802 is in data reading communication with Signal I/O Logic  
30 408, Reference Data Logic 404, and Associative Value Engine 812 so that data from these sections is output to the operating technician. Graphical Input Engine 804 interfaces the keyboard or other input device associated with Monitor 102 in bilateral data communication with

Real-Time Executive Logic 402 for execution-enablement data signals, multi-process and/or multitasking interrupts, and data input to Function Set 606 and Mode ID 608. Graphical Input Engine 804 is in data writing communication with Reference Data Logic 404, Pattern Recognition Logic 406, and Characterization Selection Routine 806 so that data is input from the operating technician to these logical sections as needed. Graphical Input Engine 804 also is in bilateral data communication with Learning Data Loading Engine 808 to facilitate operating technician activation of loading of learning database data and toolbox data (discussion with respect to Figures 13 and 14) into Signal I/O Logic 408 and Reference Data Logic 404. Graphical Input Engine 804 optionally contains Input Function Set 814 for enabling particular data sets to be defined as a group for communication in a unified data write operation. Characterization Selection Routine 806 is in data reading communication with Graphical Input Engine 804 and is in data writing communication with Pattern Recognition Logic 406 to enable operating technician selection of either a Neural Network or Weighted Distance Classifier for use in classification. Learning Data Loading Engine 808 interfaces to Signal I/O Logic 408 for networked data or to a disk or CD-ROM (not shown) in Classification Computer System 110 in loading of learning database data and toolbox data into Signal I/O Logic 408 and Reference Data Logic 404. Rework Engine 810 is in bilateral data communication with Associative Value Engine 812 in evaluating memberships determined in Associative Value Engine 812 as part of identifying anomalous measured vectors and notifying Real-Time Executive Logic 402 as described above. Rework Engine 810 also is in data writing communication with Signal I/O Logic 408 for flagging retention of anomalous measurements

to the attention of the operating technician. Associative Value Engine 812 is in data reading communication with Signal I/O Logic 408 for receiving membership values and determining appropriate membership value display data (for example, without limitation, basic or normalized form). Associative Value Engine 812 is in bilateral data communication with Rework Engine 810 and is in data writing communication with Graphical Output Engine 802 for purposes previously discussed.

Figures 9A and 9B present a block flow diagram of the pattern recognition logic in the monitoring system. Pattern Recognition Logic Detail 900 presents detail in Pattern Recognition Logic 406. Signal I/O Logic 408, Reference Data Logic 404, Real-Time Executive Logic 402, and Human Interface Logic 412 are reprised from Figure 4. Evolutionary Feature Selector 902 is in bilateral data communication with Reference Data Logic 404 for receiving learned data and toolbox data (Figures 13 and 14) needed in defining a set of features for use in classification. Evolutionary Feature Selector 902 implements random selection of a plurality of feature sets where each individual set of features is then used by Weighted Distance Classifier 906 or Neural Net Engine 908 in defining a classifier; the classifier is then used to evaluate the memberships of individual test measurements; the evaluations are then compared to judgments from a human expert to define the most acceptable sets of features in the plurality of feature sets. The most acceptable feature sets are then either enhanced or randomly cross-mutated (Figures 21A-21D) on a feature-by-feature basis to define a new plurality of feature sets. When an acceptable threshold of classification confidence is achieved, the feature set achieving the threshold is

then used to classify Mechanical Assembly 124. A further discussion of the evolutionary operation of Evolutionary Feature Selector 902 is presented in the discussions of Evolutionary Feature Selection Process 1900 of Figure 20 and in the Example illustrated by Figures 21A-21D. Evolutionary Feature Selector 902 is in bilateral data communication with Selected Feature Stack 910 to store most acceptable feature sets; Evolutionary Feature Selector 902 is in bilateral data communication with Neural Net Engine 908 and Weighted Distance Classifier 906 for classifying feature sets and evaluating results. Evolutionary Feature Selector 902 is in data reading communication with neural network Parameter Instance 912 and in data writing communication with NN Real-Time Parameters 914 for reading and storing the final selected set of features and classification reference parameters (weighting matrix and adaptation parameters) for real-time use. As should also be apparent, Evolutionary Feature Selector 902 is in bilateral data communication with Real-Time Executive Logic 402 for execution enablement data signals, multi-process and/or multitasking interrupts, and data input to Function Set 606.

Progressive Feature Selector 904 is in bilateral data communication with Reference Data Logic 404 for receiving learned data and other toolbox data needed in defining a set of features for use in classification. Progressive Feature Selector 904 implements a routine of progressively evaluating an iteratively decreased plurality of feature sets where each set of features is used by Weighted Distance Classifier 906 or Neural Net Engine 908 in defining a classifier; the classifier is then used to evaluate the memberships of individual test measurements; and the evaluations are then compared to judgments from a

human expert to define the most acceptable sets of features in the plurality of feature sets. The features of the most acceptable feature set are then enhanced with features not in the acceptable set to define a new

5 plurality of feature sets. When an acceptable threshold of classification confidence is achieved, the feature set achieving the threshold is then used to classify

Mechanical Assembly 124. A further discussion of the progressive selection operation of Progressive Feature

10 Selector 904 is presented in discussion of Progressive Feature Selection Process 1800 of Figure 18 and in auxiliary detail in Figure 19. Progressive Feature

Selector 904 is in bilateral data communication with Selected Feature Stack 910 to stack the most acceptable

15 features during the process of evaluation; the stacking enables efficient use of memory in retaining the desired features. Progressive Feature Selector 904 is in bilateral data communication with Neural Net Engine 908 and Weighted Distance Classifier 906 for classifying feature sets and  
20 evaluating results. Progressive Feature Selector 904 is in data writing communication with Weighted Distance Real-Time Parameters 916 for storing the final selected set of features and classification reference parameters (decision function set and decision feature set) for real-time use.

25 As should also be apparent, Progressive Feature Selector 904 is in bilateral data communication with Real-Time Executive Logic 402 for multi-process and/or multitasking interrupts, execution enablement data signals, and data input to Function Set 606.

30

Weighted Distance Classifier 906 is a weighted distance classifier as generally understood in the art. Examples of such classifiers are described in:

Bezdek, J.C., "Pattern Recognition with Fuzzy Objective Function Algorithm", Plenum Press, New York, 1981;

5 Gath, I., "Unsupervised Optimal Fuzzy Clustering", IEEE Trans, Pattern Analysis and Machine Intell., Juli 1989;

10 Jolliffe I.T., "Principle Component Analysis", Springer Verlag 1986;

Kandal, A., "Fuzzy Techniques in Pattern Recognition", John Wiley, New York, 1982;

15 Kittler, J., "Mathematical Methods of Feature Selection in Pattern Recognition", International Journal on Man-Machine Studies, 1975, No. 7, S. 609-637;

20 Mahalanobis, P.C., "On the generalized distance in statistics", Proc. Indian Nat. Inst. Sci. Calcutta, 1936, S. 49-55;

25 Watanabe, S., "Karhuen-Loewe Expansion and Factor Analysis", Transactions 4th Prague Conference on Information Theory, 1965, S. 635-660;

30 Zimmermann, H.J., "Fuzzy Set Theory and its Applications", Kluver Academic Publishers, 1991;

(Previously referenced) Strackeljan, J., "Klassifikation von Schwingungssignalen mit Methoden der unscharfen Mustererkennung", Dissertation TU Clausthal, 1993; and

Strackeljan, J., Weber, R., "Quality Control and Maintenance", In: Fuzzy Handbook Prade and Dubois, Vol. 7 Practical Applications of Fuzzy Technologies, Nov. 1999, Kluwer Academic Publisher.

Neural Net Engine 908 is a neural network classifier as generally understood in the art. An example of such a classifier is described in

Rumelhart, D.E., McClelland, J.L. and the PDP Research group, "Parallel Distributed Processing", MIT Press, Cambridge, MA, 1986

and

Pao, Y.H., "Adaptive Pattern recognition and Neural Networks", Addison-Wesley Publishing Company, 1989.

In addition to previously discussed data communications, Weighted Distance Classifier 906 and NN Logical Engine 908 are in bilateral data communication with Signal I/O Logic 408 for implementing real-time classification of Mechanical Assembly 124.

NN (Neural Network) Parameter Instance 912 is in bilateral data communication with Neural Net Engine 908 for holding interim features (real-time Neural Network Feature Set 934) and neural network data (Real-Time Weighting Matrix 932) during classifier definition. NN Real-Time Parameters 914 provides Weighting Matrix and Adaptation Parameters Instance 928 and Neural Network Feature Set 930

to Neural Net Engine 908 for real-time evaluation of Mechanical Assembly 124. During adaptation to define a new classifier, NN Real-Time Parameters 914 continues to provide real-time classification of Mechanical Assembly 124 even as Neural Network Parameter Instance 912 is used during the definition of a further improved parameter set for use with Neural Net Engine 908. Weighted Distance Real-Time Parameters 916 provides Decision Function Set 924 and Decision Feature Set 926 to Weighted Distance Classifier 906 for real-time evaluation of Mechanical Assembly 124. During adaptation to define a new classifier, Weighted Distance Real-Time Parameters 916 continues to provide real-time classification of Mechanical Assembly 124 even as Weighted Distance Parameter Instance 918 is used during the definition of a further improved parameter set for use with Weighted Distance Classifier 906. Weighted Distance Parameter Instance 918 is in bilateral data communication with Weighted Distance Classifier 906 for holding interim features (Decision Feature Set 922) and Weighted-Distance Classifier data (Decision Function Set 920) during classifier definition.

As previously referenced, Selected Feature Stack 910 stacks the most acceptable features during the process of evaluation; the stacking enables efficient use of memory in retaining the desired features. In this regard, the features of the first-evaluated feature sets are automatically retained in the initial feature set until the stack is full; thereafter, features which demonstrate superior classification performance supplant the lower performing features in the stack.



Stack 910 is appreciated in reference to the reclassification rate (predictive capability and/or error) concept. On the basis of a classified learning sample for which an unambiguous class assignment is performed prior to use for each random sample collected during a learning phase, a measure of appraisal is obtained by reclassifying the learning sample with the respective classification algorithm and a selected subset of classifying data. The ratio of (a) the number of random samples correctly classified in accordance with the given class assignment to (b) the total number of random samples investigated provides (c) a measure of the reclassification rate, error, and predictive capability of the particular evaluated classifier and selected classifying data; as should be appreciated, the goal of the process is ultimately to obtain a very small reclassification error. In the ideal case, (a) the decision on class assignment for reclassification agrees with (b) the class subdivision of the learning sample for all objects on the basis of the maximal alignment of the two membership determinations (that is, the best feature combination is the one that provides the very best alignment between the first determinations of the human expert and the subsequent determinations of the trained classifier respective to the each of the particular feature combinations tested for that alignment). The advantage of the reclassification error concept is the possibility of determining conclusive values even with a small number of random samples.

Separation sharpness is also a key factor in the example. The classification decision gains unambiguity if the distance between the two largest class memberships increases. Based on these membership values, a sharpness factor is defined; the sharpness factor is considered in

the selection process if two or more feature combinations have identical classification rates.

Stack 910 is further appreciated in the context of an overview of certain steps used in the method of feature  
5 selection.

In Step 1, the best combinations of features from the totality of all available results are selected (that is each feature combination instance is used to train the classifier, classify the sample data of the learning  
10 database, generate a comparison between the classified sample and the earlier evaluation of the human expert, and all of the tested feature combination instances thus tested are ranked to define the best predictive feature combinations among all of those combinations evaluated).  
15 For this purpose, a sorted list of all calculated measures of quality is prepared; from this list, a specified number of best feature combinations are accepted in a 'best list' as a basis for the further selection process.

In step 2, the best feature combinations of Step 1 (in the  
20 first iteration, all feature pairs in the stack; in the next iteration, all feature triplets in the stack; in the nth iteration, all combinations of  $n+1$  features) are successively combined with all features not previously included in the pairing of features. Features for which  
25 low measures of quality have been calculated in the appraisal of the feature pairs are thus re-included in the selection process.

In step 3, the best feature predictor combination is evaluated against a measure of acceptability, and the

process of steps 1 and 2 is repeated until (a) one (best) combination with the desired predetermined number of features has been defined or (b) a specified Recall rate (ability to predict vis a vis the human expert) is  
5 achieved.

The following example further shows the nature and operation of Selected Feature Stack 910.

#### Example 1

Respective to notation, "z" is the Object number for a  
10 particular individual having a feature set and membership in a class (that is when z is expressed as a numeric value, then  $F_{z,x}$  is considered to have a specific quantitative value in the example; when z is expressed as the textual "z", then  $F_{z,x}$  is a logically identified  
15 variable representing a classifying feature in the example). An Object, therefore, is a feature vector and affiliated class membership value as a combination.

Beginning with a Feature set size of 2, the example shows  
20 Table 3 having 20 samples (10 for class 1 designated with  $z = 1,10$ , and 10 for class 2 designated with  $z = 11,20$ ) after the set of features has been used to train a classifier and the classifier has been used to categorize each sample in the learning set.

25

30

Table 3

First Feature Value	Second Feature Value	Membership Value Predicted from using trained classifier (note: these are examples of what the newly-trained classifier defines as a Membership Value set)	Membership Value Measured from Human Expert Input
F <sub>1,6</sub>	F <sub>1,12</sub>	0	0
F <sub>2,6</sub>	F <sub>2,12</sub>	0	1 (misclassified)
F <sub>3,6</sub>	F <sub>3,12</sub>	0	0
F <sub>4,6</sub>	F <sub>4,12</sub>	0	0
F <sub>5,6</sub>	F <sub>5,12</sub>	0	0
F <sub>6,6</sub>	F <sub>6,12</sub>	0	0
F <sub>7,6</sub>	F <sub>7,12</sub>	0	0
F <sub>8,6</sub>	F <sub>8,12</sub>	0	0
F <sub>9,6</sub>	F <sub>9,12</sub>	0	0
F <sub>10,6</sub>	F <sub>10,12</sub>	0	0
F <sub>11,6</sub>	F <sub>11,12</sub>	1	1
F <sub>12,6</sub>	F <sub>12,12</sub>	1	1
F <sub>13,6</sub>	F <sub>13,12</sub>	1	1
F <sub>14,6</sub>	F <sub>14,12</sub>	1	1
F <sub>15,6</sub>	F <sub>15,12</sub>	1	1
F <sub>16,6</sub>	F <sub>16,12</sub>	1	1
F <sub>17,6</sub>	F <sub>17,12</sub>	1	1
F <sub>18,6</sub>	F <sub>18,12</sub>	1	1
F <sub>19,6</sub>	F <sub>19,12</sub>	1	1
F <sub>20,6</sub>	F <sub>20,12</sub>	1	1

5 As can be seen, the Recall Rate =  $1.0 - 1.0 / 20.0 = 0.95$ . For each feature combination of 2 features, a

Recall Rate is determined. Table 4 shows the  $F_{z,6} - F_{z,12}$  Recall Rate along with another  $F_{z,6} - F_{z,18}$  Recall Rate (note that there is no equivalent Table 3 for the  $F_{z,6} - F_{z,18}$  Recall Rate determination).

5 Table 4

$F_{z,6}$	$F_{z,12}$	95 percent correct in predicting.
$F_{z,6}$	$F_{z,18}$	92 percent correct in predicting.

Table 5 expands on the example of Tables 3 and 4 and adds the Sharpness factor to provide a Sorted list with a stack size of 50.

10 Table 5

Pos.	First feature value	Second feature value	Recall Rate	Sharpness
1	6	12	0.95	0.151
2	6	18	0.92	0.125
3	7	14	0.92	0.108
4	6	21	0.91	0.132
5	5	11	0.89	0.095
6	4	12	0.89	0.089
7	6	19	0.88	0.086
8	7	18	0.86	0.084
9	5	34	0.86	0.081
10	5	33	0.85	0.082
.	.	.	.	.
.	.	.	.	.
.	.	.	.	.
48	7	12	0.81	0.071
49	7	33	0.81	0.069
50	6	19	0.80	0.068

Continuing the Example, Table 6 shows a new incoming evaluation:

Table 6

First feature value	Second feature value	Recall Rate	Sharp-ness
8	14	0.90	0.116

- 5 This new  $F_{z,8} - F_{z,14}$  result of Table 6 pushes part of the Stack 910 down as shown in Table 7 to provide an updated list after evaluation of feature combination 8|14.

Table 7

Pos.	Feature Value 1	Feature Value 2	Recall Rate	Sharp-ness
1	6	12	0.95	0.151
2	6	18	0.92	0.125
3	7	14	0.92	0.108
4	6	21	0.91	0.132
5	8	14	0.90	0.116
6	5	11	0.89	0.095
7	4	12	0.89	0.089
8	6	19	0.88	0.086
9	7	18	0.86	0.084
10	5	34	0.86	0.081
.	.	.	.	.
.	.	.	.	.
.	.	.	.	.
48	6	17	0.82	0.081
49	7	12	0.81	0.071
50	7	33	0.81	0.069

10

End of Example 1

Figure 10 presents detail in a decision function set of the pattern recognition logic. Decision Function Detail 1000 shows detail in Decision Function Set 920 and

Decision Function Set 924. Each Class used to characterize a measured signal (whether used in classifier definition or in real-time classification) has an affiliated eigenvalue set and eigenvector set. In a system of N Classes being used for classification, Class 1 Eigenvector Set 1002, Class N Eigenvector Set 1004, Class 1 Eigenvalue Set 1006, and Class N Eigenvalue Set 1008 are each retained as shown within Decision Function Set 920 and (for the real-time case) in Decision Feature Set 926.

Figure 11 presents a block flow diagram of the signal and data I/O and logging logic in the monitoring system. Signal Logic Detail 1100 therefore presents detail in Signal I/O Logic 408. Pattern Recognition Logic 406, Reference Data Logic 404, Real-Time Executive Logic 402, Signal Conditioning Logic 410, and Human Interface Logic 412 are reprised from Figure 4. Feature Derivation Engine 1102 derives features from input signals Analog Input Signal 118 and/or Digital Input Signal 116 in the context of attributes of Tool-Specific Feature Functions 1104 (discussed in further detail in Derivation Functions 1200 of Figure 12). Feature Derivation Engine 1102 is in bilateral data communication with Real-Time Signal Input Engine 1108 in achieving several key functionalities: (1) data reading communication of measurements respective to Analog Input Signal 118 and Digital Input Signal 116, (2) acquiring data from Reference Data Logic 404, (3) occasionally acquiring updated Tool-Specific Feature Functions 1104 routines from Human Interface Logic 412, and (4) data writing communication of derived features and feature values to Real-Time Signal Input Engine 1108 for further communication to Pattern Recognition Logic 406. Log of Learning Measurements 1106 is in data writing

communication with Real-Time Signal Input Engine 1108 for receiving and holding measurements respective to anomalous measured vectors when Real-Time Signal Input Engine 1108 is prompted by Rework Engine 810. Log of Learning

5 Measurements 1106 also is in bilateral data communication with Human Interface Logic 412 and Network Interface 1116 for further communication or copying of Log of Learning Measurements 1106 data to an operating technician, a floppy, a CD-ROM, or other system. Real-Time Signal Input

10 Engine 1108 is in bilateral data communication with Human Interface Logic 412 for sending classification results and for receiving updated Tool-Specific Feature Functions 1104 routines, for receiving configuration data for hardware signals (for storage in Signal Configuration Schema 1110),

15 and for receiving a flag respective to an anomalous measured vector. Real-Time Signal Input Engine 1108 is in bilateral data communication with Feature Derivation Engine 1102 as previously described. Real-Time Signal

20 Input Engine 1108 is in bilateral data communication with Pattern Recognition Logic 406 for sending derived feature values and feature data to Pattern Recognition Logic 406 and for receiving classification feedback respective to feature values and feature data. Real-Time Signal Input

25 Engine 1108 is in bilateral data communication with Reference Data Logic 404 for informing Reference Data Logic 404 of the particular signal being read and responsively acquiring feature data to classify the signal. Real-Time Signal Input Engine 1108 is in

30 bilateral data communication with Real-Time Executive Logic 402 for (a) receiving execution enablement data signals, multi-process and/or multitasking interrupts, and (b) sending feedback and flagging inputs so that responsive logic is executed in a unified and coordinated real-time cadence. Real-Time Signal Input Engine 1108 is



in bilateral data communication with Network Interface 1116 for receiving certain measured signal data directly from Network 146 and for interacting with certain external systems via Network 146 as needed. Real-Time Signal Input  
5 Engine 1108 is in bilateral data communication with Process Information System Interface 1112 for interfacing with Process Information System 104; in Signal Logic Detail 1100 of Figure 11, Process Information System Interface 1112 is shown using Network Interface 1116 to  
10 interface to Process Information System 104, but the interface can also be via another data communication means such as a direct serial link. PI Buffer 1114 is used for holding data exchanged between Process Information System 104 and Classification Computer System 110 during  
15 transfers.

Figure 12 presents detail in tool-specific feature derivation functions. Derivation Functions 1200 shows further detail in the particular functions used to derive features used in classification of Mechanical Assembly  
20 124. Each Feature Function contains the logical routine used to derive the features. For any particular signal, as indicated in the discussion of Reference Data Detail 1300 in Figure 13, a function (Aligned Function 1326) and set of attributes (Related Functional Attribute 1328) is  
25 defined for at least one feature; this data is referenced by Feature Derivation Engine 1102 and which applies the appropriate function in Tool-Specific Feature Functions 1104 to derive the feature values for use in Pattern Recognition Logic 406.

30 FFT Feature Function 1202 is generally understood in the art. This function is described in (1) Brigham, E.O., "The Fast Fourier Transform", Prentice-Hall Inc., 1974

and also in (2) Cooley, J.W. and Tukey, J.W., "An Algorithm for the Machine Calculation of Complex Fourier Series", Mathematical Computation 19, 1965.

5 RPM Feature Function 1204, Minimum Signal Value Feature Function 1206, Maximum Signal Value Feature Function 1208, and RMS Feature Function 1210 are generally understood in the art. These functions are described in

10 Bannister, R.H., "A review of rolling element bearing monitoring techniques", Fluid Machinery Committee, Power Industries, London, June 1985;

Callacott, R.A., "Mechanical Fault Diagnosis and condition Monitoring", Chapman and Hall, London, 1977;

15 Hunt, T.M., "Condition Monitoring of Mechanical Equipment and Hydraulic plant", Chapman and Hall, 1996;

Rao, B.K.N., "Handbook of condition monitoring", Elsevier Advanced Technologies, 1996;

20 Harris, T.A., "Rolling Element bearing Analysis", Third Edition, New York, 1991, John Wiley & Sons, Inc.;

25 Berry, J.E., "How to Track Rolling Element Bearing Health with Vibration Signature Analysis", Sound and Vibration, 25(1991) 11, pp. 24-35;

Dyer, D. and Stewart, R.M., "Detection of Rolling Element Bearing Damage by Statistical Vibration Analysis", Journal of Mechanical Design, Vol. 100, 1978, pp. 229-235.; and

- 5 Edgar, G.R. and Gore, D.A., "Techniques for the Early Detection of Rolling Bearing Failures", SAE Technical Paper Series, 1984, pp. 1-8.

Curtosis Feature Function 1212 is generally understood in the art. This function is described in Rush, A.A.,  
10 "Kurtosis a crystal ball for maintenance engineers", Iron and Steel International, 52, 1979, S. 23- 27. Filtered Curtosis Feature Function 1214 is achieved by time-filtering a Curtosis value.

Envelope Set Feature Function 1216 is generally understood  
15 in the art. This function is described in Jones, R.M., "Enveloping for bearing Analysis", Sound and Vibration, 30(2) 1996, page 10.

Cepstrum Feature Function 1218 is generally understood in the art. This function is described in Randall, R.B.,  
20 "Cepstrum Analysis and Gearbox Fault Diagnosis", Brüel and Kjaer application note No. 233.

CREST Feature Function 1220 is generally understood in the art. This function is described in Bannister, R.H., "A review of rolling element bearing monitoring techniques",  
25 Fluid Machinery Committee, Power Industries, London, June 1985.

Filtered CREST Feature Function 1222 is generally understood in the art. This function is described in (1) Dyer, D. and Stewart, R.M., "Detection of Rolling Element Bearing Damage by Statistical Vibration Analysis", Journal of Mechanical Design, Vol. 100, 1978, pp. 229-235; and (2) Bannister, R.H., "A review of rolling element bearing monitoring techniques", Fluid Machinery Committee, Power Industries, London, June 1985..

Dimensionless Peak Amplitude Feature Function 1224 is derived from a time signal as a dimensionless parameter. The mean peak height of the time signal characterizes the degree of peak plurality and peak impulse magnitude, and the periodicity and constancy between a peak and two following peaks. To derive the dimensionless parameter of Dimensionless Peak Amplitude Feature Function 1224, the ratio between the mean amplitude and the signal "base" is first established.

Equation 1

Base level:

$$a_b = \frac{1}{M} \sum_{j=1}^M \text{abs}(x_j) \text{ with } M \text{ samples of the time signal}$$

M = Number of data points

x = digital data samples

Equation 2

Average peak amplitude

$$a_{MP} = \frac{1}{N} \sum_{j=1}^N a_{pj}$$

N = Number of detected peaks in time signal

5         $a_{pj}$  = Amplitude of peak j

The Feature of Dimensionless Peak Amplitude Feature Function 1224 is then

Equation 3

$$f_1 = \frac{a_{MP}}{a_b}$$

- 10    Dimensionless Peak Separation Feature Function 1226 is derived from a time signal as a dimensionless parameter. An ideal roller bearing damage consistently generates peaks in the time signal from the sensor monitoring the bearing. The constancy of generated peaks (as related to
- 15    the distances between the peaks) is expressed by calculating all distances between a set of peaks and building the variance to a mean value. A roller bearing in good condition reflects a high degree of variance through small, stochastically distributed signal peaks.
- 20    To ensure the comparability of different rotation speeds, a dimensionless ratio is established by dividing the variance by the mean distance between peaks.

Equation 4

Average peak distance

$$d_{MP} = \frac{1}{N-1} \sum_{j=1}^{N-1} d_{pj}$$

5        N = Number of detected peaks in time signal

$d_{pj}$  = Distance between peak j and peak j-1

Equation 5

$$\sigma_p = \frac{1}{N-2} \sqrt{\sum_{j=1}^{N-1} (d_{pj} - d_{MP})^2}$$

10        The feature of Dimensionless Peak Separation Feature  
Function 1226 is then calculated from

Equation 6

$$f_2 = \frac{d_{MP}}{\sigma_p}$$

Figure 13 presents a block flow diagram of the reference data logic in the monitoring system. Reference Data  
15        Detail 1300 shows detail in Reference Data Logic 404. Pattern Recognition Logic 406, Signal I/O Logic 408, Real-Time Executive Logic 402, and Human Interface Logic 412 are reprised from Figure 4. For any particular signal, as indicated in the discussion of Figure 12, a function  
20        (Aligned Function 1326) and set of attributes (Related

Functional Attribute 1328) is defined for at least one feature; this data is referenced by Feature Derivation Engine 1102, which applies the appropriate function in Tool-Specific Feature Functions 1104 to derive feature values for use in Pattern Recognition Logic 406. Learning Database 1302 shows a set of records related to a particular Tool ID 1334. For each Tool ID 1334 there is a set of features, Feature 1 (F1) 1318 through Feature N (Fn) 1320 for which a judgment (from a human expert) is also expressed as a value in Judgment Value 1322 data-field. A set of rows of values showing Feature 1 1318 through Feature N 1320 values and a judgment as a class of operational status is provided for each Tool ID 1334. In the context of the aligning provided by the design of Candidate Feature Database 1304 and Tools Database 1306 and Component Database 1308, Learning Database 1302 therefore represents the collected input of human professional understanding (respective to interpretation of the status of Mechanical Assembly 124 in operation) to Classification Computer System 110 so that Classification Computer System 110 provides rapid mechanized access in real-time to that collected understanding. A further discussion of how Feature N 1320 data is assembled is described in the discussion respective to Toolbox Development Overview 2300 in Figure 25. Further considerations in (1) selecting a proper number of classes (providing an inherent class structure) for articulating judgment and (2) defining acceptable predictability of a classifier instance is discussed in Component Assembly 2200 and Toolbox Development Overview 2300 of Figures 24 and 25. Candidate Feature Database 1304 is a table of a set of Features 1324 and a Related Tool Identifier 1330 data-field showing the particular Tool ID 1334 set for which that Feature 1324 is relevant. In this regard, a

particular Feature 1324 is any one feature in the set of features (Feature 1 1318 through Feature N 1320) in Learning Database 1302 where one Feature N 1320 record is related to one Tool ID 1334. Aligned Function 1326  
5 logical identifier is also provided along with Related Functional Attribute 1328 so that Feature Derivation Engine 1102 executes the proper function of Tool-Specific Feature Functions 1104 and also determines the appropriate attribute of the derived function in derivation of a  
10 particular feature value. Tools Database 1306 is a table of values respective to the variable types Input Channel Logical ID 1332, Tool ID 1334, and Tool Identifying Term 1336 (for facilitating human interaction with Reference Data Detail 1300 by providing a lexical string identifier  
15 for display on Monitor 102). Input Channel Logical ID 1332 is dependent upon a particular Filter Circuit 300 on Band-Pass-Filter Circuitry Board 204; the purpose of Input Channel Logical ID 1332 is to enable crosscheck in execution of Hardware Configuration Function 702 so that  
20 an operating technician attaches an instance of Analog Input Signal 118 to the proper Signal Wire Terminators 212. Component Database 1308 provides a further reference so that instances of Component Identifier 1338 (see the further discussion of Component Assembly 2200 in Figure  
25 24) are, when combined with a particular Sensor Type 1340, wired to the proper Input Channel Logical ID Field 1342. Note that, in using Component Database 1308 and Tools Database 1306, a Component Identifier 1338 in combination with a Sensor Type 1340 "points" to acceptable Input  
30 Channel Logical ID Field 1342 values. The Input Channel Logical ID Field 1342 values (which could be more than one relative Signal Wire Terminator 212), when mapped to the table of Tools Database 1306, enable identification of a particular Input Channel Logical ID 1332; ID 1332 then



identifies an appropriate Tool ID 1334 in alignment with Component Identifier 1338, Sensor Type 1340, and Input Channel Logical ID 1332 (resolving hardware alignment considerations in the classifier). Tool ID 1334 then  
5 references a set of Feature 1324 instances in Candidate Feature Database 1304 (a datalogical reference for evaluation of Component Identifier 1338 in operation) and also references a particular record of Learning Database 1302 (collected human learning in intersection with the  
10 set of Feature 1324 instances in the datalogical reference frame of Candidate Feature Database 1304). The set of Features 1324 with their particular Learning Database 1302 instance is then used in conjunction with (a) Progressive Feature Selector 904 (or, alternatively, Evolutionary  
15 Feature Selector 902) and (b) with Weighted Distance Classifier 906 (or, alternatively, Neural Net Engine 908) to derive a subset, for each Judgment Value 1322 class, of (c) Feature 1 1318 - Feature N 1320 features for use in real-time classification. Real-Time Signal Feature Set  
20 Instance 1310 is the subset, for each Judgment Value 1322 class, of (c) Feature 1 1318 - Feature N 1320 features for use in real-time classification for a particular Analog Input Signal 118 (Digital Input Signal 116 or Analog Input Signal 118 /Digital Input Signal 116 combination) instance  
25 respective to at least one identified judgment class (Judgment Value 1322 type). Real-Time Signal Feature Set Instance 1310 points to a particular Decision Function Set 924 instance and aligns with a respective Decision Feature Set 926. Real-Time Signal Feature Set Instance 1310 is  
30 accessed by Signal I/O Logic 408 in interactions with Feature Derivation Engine 1102 and Pattern Recognition Logic 406. Feature Data Evaluation Engine 1312 (in data reading communication with Learning Database 1302, Candidate Feature Database 1304, Tools Database 1306, and

Component Database 1308) is used with Feature Selection Function 714 and Classifier Definition Function 718 in defining a classifier instance. Configuration Tables Interface 1314 is in bilateral data communication with Learning Database 1302, Candidate Feature Database 1304, Tools Database 1306, Component Database 1308, and Real-Time Signal Feature Set Instance 1310 for loading these tables and providing the operating technician with a full reference frame for evaluating the status of the data which is custom to a particular instance of Mechanical Assembly 124 (note that Configuration Tables Interface 1314 is in bilateral data communication with Human Interface Logic 412 and Real-Time Executive Logic 402). Threshold Value 1316 is used by Feature Data Evaluation Engine 1312 in a decision to use Evolutionary Feature Selector 902 in preference to Weighted Distance Classifier 906. Depending on the capability of the particular Classification Computer CPU 138 and affiliated computing resources, the use of Evolutionary Feature Selector 902 is preferable for feature sets above Threshold Value 1316.

Figure 14 presents details for a machine analysis toolbox. Toolbox 1400 shows Machine Analysis Toolbox 1402. In this regard, in one embodiment, a data schema section is provided with Learning Database 1302, Candidate Feature Database 1304, Tools Database 1306, and Tool-Specific Feature Functions 1104 as an aligned set with a unifying logical identified data value in Data Feature Tool Object 1404. Machine Analysis Toolbox 1402 is, in one embodiment, unified in one data schema logical section, or, in the embodiment shown in Signal Logic Detail 1100 and Reference Data Detail 1300, virtually provided in more than one logical section. Attributes A1 and A3 shown in column 1328 (Figure 13) are the feature attributes of the

signal vector as derived from feature function 1326 to become classification feature 1324 (as noted earlier, Features frequently reference a variable possessing a joining consideration or datalogical nexus between, first, an attribute derived in the context of a function from the measured signal and, second, a variable used in a classifier). Machine Analysis Toolbox 1402 is, in one embodiment, resident as a logical object set in data form on a unified physical storage device such as a CD-ROM, a "floppy", or other like media. In this regard, (1) hardware alignment considerations, (2) the datalogical reference for evaluation of components in operation, (3) the related collected human learning in intersection with the datalogical reference frame, and (4) the functions needed to derive the data needed for the datalogical reference frame all continuously improve with time; these elements in the embodiment are beneficially upgraded periodically in Classification Computer System 110 in a unified manner to provide access to improved methodology. Machine Analysis Toolbox 1402, therefore, is manifested virtually in all embodiments and is manifested in unified logical form in some embodiments and in separated logical form in other embodiments.

Figure 15 presents an overview flowchart of the organization of key information in constructing and using the preferred embodiments. Use Process Overview 1500 outlines a broad process perspective in use of the classifier. In Setup Step 1502, a computer-implemented routines set is provided, with each routine deriving a feature value set from a signal generated by a type of sensor when used on a machine component type. In Testing Step 1504, a set of input signals is collected from each sensor type representative of a machine component in

different classified modes (classes) of operation (for example, without limitation, a Shutdown Class, a Good Class, a Transition Class, and a Bad Class). In Feature Definition Step 1506, the computer-implemented routines are applied to derive a feature value set for each measured input signal instance, and each feature value set is added to a Learning Database. In Expert Input Step 1508, a class affiliation parameter value (judgment) is associated with each input signal instance in the Learning Database. In this regard, the "classified modes" of operation of Testing Step 1504 are based on human understanding; in Expert Input Step 1508, this understanding is datalogically expressed and affiliated with each signal for which a feature value set was derived in Feature Definition Step 1506. In Toolbox Assembly Step 1510, the information of Testing Step 1504, Feature Definition Step 1506, and Expert Input Step 1508 is organized in the context of the data reference of the routines of Setup Step 1502. In this regard, the (a) set of sensor identifiers, (b) feature routines related to each sensor type, (c) sets of features defined by the feature routines, (d) learning databases, and (e) affiliated query and configuration routines and data are all collected into a Toolbox Of Data Feature Tools 1402 for use in computer memory. In Use Step 1512, the Toolbox 1402 is used in configuration and real-time operation of the monitoring system to measure the status of a unified component assembly (Mechanical Assembly 124) in operation.

Figure 16 presents a flowchart of key classification steps. Implementation Process Overview 1600 shows further detail in Use Step 1512. In Configuration Step 1602, configuration of Reference Data Logic 404 customizes Classification Computer System 110 to a particular

instance of Mechanical Assembly 124 by (a) identifying  
deployed sensors (see Component Assembly 2200 of Figure  
22); (b) assigning a channel (Signal Wire Terminators  
212), component/sensor (Component Identifier 1338 & Sensor  
5 Type 1340), and/or Toolbox Tool ID (Related Tool  
Identifier 1330) to each sensor; and (c) providing  
historical learning data to Learning Database 1302.

In Optional Learning Step 1604, an optional learning phase  
is implemented to acquire further measurements in the  
10 learning base. This is an optional step in the sense that  
such learning is alternatively acquired in the course of  
adaptation (Adaptation Step 1610); however, in certain  
applications, it is beneficial to perform system testing  
prior to full commitment to use so that Learning Database  
15 1302 reflects both (a) measurements and judgments for the  
type of component and sensor in prior use on other  
embodiments of Mechanical Assembly 124 or from a test  
environment and (b) specifically judged measurements for  
the particular Mechanical Assembly 124 being monitored by  
20 the instance of Classification Computer System 110  
configured.

In Classifier Derivation Step 1606, a real-time classifier  
reference parameter instance (Weighted Distance Real-Time  
Parameters 916 or NN Real-Time Parameters 914) is derived  
25 for each component and sensor combination. In Real-Time  
Classifying Step 1608, derivation and depiction of real-  
time membership values (the membership of each component  
in each class valid for that component) is performed in an  
ongoing manner. In Adaptation Step 1610, adaptation of  
30 Learning Database 1302 and redefinition of Weighted  
Distance Real-Time Parameters 916 (or NN Real-Time  
Parameters 914) is executed (via multi-process and/or

multitasking interrupts and execution enablement data signals from Executive Logic 402) along with on-going derivation and depiction of real-time membership values. In Anomalous Vector ID Step 1612, anomalous vectors are identified (Rework Engine 810). In Human Query Step 1614, Monitor 102 is queried for operating technician input respective to judgment for the anomalous vector. In Adaptation Decision 1616, the operating technician inputs a decision to proceed to redefine Weighted Distance Real-Time Parameters 916 (or NN Real-Time Parameters 914). If the decision result is NO, Adaptation Decision 1616 terminates to Exit Step 1620. If the decision result is YES, Adaptation Decision 1616 terminates to Replacement Classifier Derivation Step 1618. In Replacement Classifier Derivation Step 1618, a new real-time classifier reference parameter instance is determined via coordination of Adaptation Function 722 in Control Block 604. Weighted Distance Parameter Instance 916 (or Neural Network Parameter Instance 912) provide storage for the redefinition of Weighted Distance Real-Time Parameters 916 (NN Real-Time Parameters 914) so that the existing instances of Weighted Distance Real-Time Parameters 916 (NN Real-Time Parameters 914) are used for real-time classification of Mechanical Assembly 124 during the adaptation process. In the final portion of Replacement Classifier Derivation Step 1618, the new version of Weighted Distance Parameter Instance 916 (NN Parameter Instance 912) replaces the old version for the particular signal for which the adaptation is being executed. In Exit Step 1620, the adaptation process concludes with an exit.

Figure 17 presents a flowchart detailing decisions in use of progressive feature selection, evolutionary feature

selection, neural network classification, and weighted distance classification. Classification Overview 1700 further defines Classifier Derivation Step 1606 to show the process by which each measurement vector (derived from  
5 Analog Input Signal 118, Digital Input Signal 116, or a combination of Digital Input Signal 116 and Analog Input Signal 118 signals) is classified. In Sample Signal Preparation Step 1702, the signal sample values are normalized for use in classification. This step is not  
10 executed in every contemplated embodiment, but is generally a preferable approach. In this regard, "normalized sample signals" reference the normalized features as a whole for a particular set of learning samples taken collectively and resident for a particular  
15 Tool ID 1334 in Learning Database 1302. In Branch Step 1704, reference rules branch the method to a particular combination of (a) classifier and (b) feature selection process. This branching is further described respective to considerations outlined in Table 8.

20

25

30

35

Table 8

Situation	NN	Evolutionary Feature Selection	Weighted Distance Classifier	Progressive Feature Selection
Problems with a small number of possible input features (< 400)	X	X	X	X
Problems with a large number of possible input features (> 400)	X	X	X	
Learning data set has more than one disjunct cluster with equal class membership	X	X		X
Strong ellipsoidal distribution for the data set		X	X	X
High level of deterministic solutions (safety relevance issues, minimum of control parameters)			X	X

5

In PF-WD Preparation Step 1706, a set of normalized sample signals is prepared for the progressive feature selection process. In PF-WD Class Separation Step 1708, the normalized sample signal set is separated into class subsets. In PF-WD Feature Set Definition Step 1710, the weighted distance classifier and the progressive feature selection process converge Learning Database 1302 data for the particular sample signals to a real-time feature subset. In PF-WD Real-Time Set Storage Step 1712, the real-time feature subset is saved in Weighted Distance Real-Time Parameters 916.



In PF-NN Preparation Step 1714, a set of normalized sample signals is prepared for the progressive feature selection process. In PF-NN Class Separation Step 1716, the  
5 normalized sample signal set is separated into class subsets. In PF-NN Feature Set Definition Step 1718, the neural network classifier and the progressive feature selection process converge Learning Database 1302 data for the particular sample signals to a real-time feature  
10 subset. In PF-NN Real-Time Set Storage Step 1720, the real-time feature subset is saved in NN Real-Time Parameters 914.

In EF-NN Preparation Step 1722, a set of normalized sample  
15 signals is prepared for the evolutionary feature selection process. In EF-NN Class Separation Step 1724, the normalized sample signal set is separated into class subsets. In EF-NN Feature Set Definition Step 1726, the neural network classifier and the evolutionary feature  
20 selection process converge Learning Database 1302 data for the particular sample signals to a real-time feature subset. In EF-NN Real-Time Set Storage Step 1728, the real-time feature subset is saved in NN Real-Time Parameters 914.

25  
In EF-WD Preparation Step 1730, a set of normalized sample signals is prepared for the evolutionary feature selection process. In EF-WD Class Separation Step 1732, the normalized sample signal set is separated into class  
30 subsets. In EF-WD Feature Set Definition Step 1734, the weighted distance classifier and evolutionary feature selection process converge Learning Database 1302 data for the particular sample signals to a real-time feature subset. In EF-WD Real-Time Set Storage Step 1736, the

real-time feature subset is saved in Weighted Distance Real-Time Parameters 916.

Figure 18 presents detail in the weighted distance method of classifying and progressive feature selection.

Progressive Feature Selection Process 1800 provides an overview of the method executed by Progressive Feature Selector 904. The set of features Feature 1 1318 to Feature N 1320 for a particular Tool Identifying Term 1336 is processed to define the best subset for use in real-time classification. In this regard, the size of the subset is dependent upon the particular Classification Computer CPU 138 and affiliated resources, the frequency at which real-time membership determinations are desired, the instances of Tool Identifying Term 1336 in Classification Computer System 110, and like considerations. In Weighted-Distance Classifier Initial Features Step 1802, the features are individually evaluated if more than 400 features are defined for a particular signal. If less than 400 features are defined, each feature couplet is evaluated. In Weighted-Distance Classifier Initial Feature Ranking Step 1804, fitness for a classifier respective to each feature or feature couplet is evaluated. In Weighted-Distance Classifier Feature Selecting Step 1806, the best performing features or feature couplets are selected to Selected Feature Stack 910. On subsequent iterations, the best feature sets are selected to Selected Feature Stack 910. In Weighted-Distance Classifier Feature Set Augmentation Step 1808, the feature sets in the stack are separately augmented with each individual feature not in the set. In Weighted-Distance Classifier Feature Set Fitness Decision 1810, each new feature set is evaluated for classification prediction fitness. If sufficient fitness prediction is

not achieved by any feature set ("NO" decision result), then the process returns to Weighted-Distance Classifier Feature Selecting Step 1806. If the decision result is YES, Weighted-Distance Classifier Feature Set Fitness Decision 1810 terminates to WD Feature Set Acceptance Step 1812. In Weighted-Distance Classifier Feature Set Acceptance Step 1812, the feature set achieving the best fitness is written into Weighted Distance Real-Time Parameters 916 (NN Real-Time Parameters 914). Figure 19 shows further detail in Steps 1804, 1806, and 1808 in feature evaluation detail 2900. An example of the above process follows.

#### Example 2

15

Control parameters for the selection strategy are similar to Example 1 used to describe Stack 910 in the discussion of Figure 9. First, in reference (1) to the reclassification rate (predictive capability and/or error) concept and (2) to the basis of a classified learning sample for which an unambiguous class assignment is performed prior to use for each random sample collected during a learning phase, a measure of appraisal is obtained by reclassifying the learning sample with the respective classification algorithm and a selected subset of classifying data. The ratio of (a) the number of random samples correctly classified in accordance with the given class assignment to (b) the total number of random samples investigated provides a measure of the reclassification rate, error, and predictive capability of the particular evaluated classifier and selected classifying data; as should be appreciated, the goal of the process is ultimately to obtain a very small reclassification error. In the ideal case, the decision on

class assignment for reclassification agrees with the class subdivision of the learning sample for all objects on the basis of the maximal membership. The advantage of the reclassification error concept is the possibility of  
5 determining conclusive values even with a small number of random samples.

Separation sharpness is also a key factor in the example. The classification decision gains unambiguity if the  
10 distance between the two largest class memberships increases. Based on these membership values a sharpness factor is defined, which is considered in the selection process if two or more feature combinations have identical classification rates.

15 Respective to notation, "z" is the Object number for a particular individual having a feature set and membership in a class (that is when z is expressed as a numeric value, then  $F_{z,x}$  is considered to have a specific  
20 quantitative value in the example; when z is expressed as the textual "z", then  $F_{z,x}$  is a logically identified variable representing a classifying feature in the example). An Object, therefore, is a feature vector and affiliated class membership value as a combination.

25 In this example, the feature "gene pool" has a Maximum Set Size of  $F_{z,1}.....F_{z,10}$  and the progressive search algorithm determines a sub-optimal feature subset containing 3 features.

30 Human expert membership value "0" indicates that the sample belongs to class A, and a value "1" indicates that the sample belongs to class B. The human expert's decision

is available for all samples of the learning data base (in this example, a sample size of 20).

In Step 1 of the example, all samples from the learning  
5 database are read into the progressive selection method.

In Step 2 of the example, the search algorithm starts with an opening minimum set of 2 features  $F_{z,x} - F_{z,y}$  for each individual (see notational paragraph above respective to  
10 variable "z"). All possible combinations of two features are then defined. Table 9 shows all combinations of 2 features containing Feature "1" and the possible feature pairs. The combination  $F_{z,1}$  and  $F_{z,2}$  is defined using the notational form "1 | 2".

15 Table 9:

	1		2	$F_{z,1}$	$F_{z,2}$
	1		3	$F_{z,1}$	$F_{z,3}$
	1		4	$F_{z,1}$	$F_{z,4}$
	1		5	$F_{z,1}$	$F_{z,5}$
20	1		6	$F_{z,1}$	$F_{z,6}$
	1		7	$F_{z,1}$	$F_{z,7}$
	1		8	$F_{z,1}$	$F_{z,8}$
	1		9	$F_{z,1}$	$F_{z,9}$
	1		10	$F_{z,1}$	$F_{z,10}$

25

In Table 10 all possible combinations of any two features are listed.

30

Table 10

5	1.	1		( 2, 3, 4, 5, 6, 7, 8, 9, 10)
	2.	2		( 3, 4, 5, 6, 7, 8, 9, 10)
	3.	3		( 4, 5, 6, 7, 8, 9, 10)
	4.	4		( 5, 6, 7, 8, 9, 10)
	5.	5		( 6, 7, 8, 9, 10)
10	6.	6		( 7, 8, 9, 10)
	7.	7		( 8, 9, 10)
	8.	8		( 9, 10)
	9.	9		(10)

- 15 The performance of each feature combination is determined by (1) training the Weighted Distance Classifier, (2) calculating the classification results for all samples of the learning data set, and (3) comparing the results of the calculation with the initial human expert
- 20 determination (that is, establishing the comparison of respective ability of the trained classifier to return, respective to a particular "trial" feature combination, the same determination of membership as the human expert for a particular measurement).
- 25 Table 11 demonstrates this process for the feature combination 6 | 10 after the performance of each feature combination has been determined.

Table 11: Classification results for the whole learning data set.

First Feature Value	Second Feature Value	Membership value for class 1 predicted from using trained classifier	Membership value for class 2 predicted from using trained classifier	Class Membership Value calculated from both class membership values	Membership Value Measured from Human Expert Input
F <sub>1,6</sub>	F <sub>1,10</sub>	0.8	0.2	0	0
F <sub>2,6</sub>	F <sub>2,10</sub>	0.4	0.6	1	0 (misclassified)
F <sub>3,6</sub>	F <sub>3,10</sub>	0.9	0.1	0	0
F <sub>4,6</sub>	F <sub>4,10</sub>	0.6	0.4	0	0
F <sub>5,6</sub>	F <sub>5,10</sub>	0.7	0.3	0	0
F <sub>6,6</sub>	F <sub>6,10</sub>	0.9	0.1	0	0
F <sub>7,6</sub>	F <sub>7,10</sub>	1.0	0.0	0	0
F <sub>8,6</sub>	F <sub>8,10</sub>	0.6	0.4	0	0
F <sub>9,6</sub>	F <sub>9,10</sub>	0.6	0.4	0	0
F <sub>10,6</sub>	F <sub>10,10</sub>	0.7	0.3	0	0
F <sub>11,6</sub>	F <sub>11,10</sub>	0.1	0.9	1	1
F <sub>12,6</sub>	F <sub>12,10</sub>	0.2	0.8	1	1
F <sub>13,6</sub>	F <sub>13,10</sub>	0.1	0.9	1	1
F <sub>14,6</sub>	F <sub>14,10</sub>	0.2	0.8	1	1
F <sub>15,6</sub>	F <sub>15,10</sub>	0.4	0.6	1	1
F <sub>16,6</sub>	F <sub>16,10</sub>	0.3	0.7	1	1
F <sub>17,6</sub>	F <sub>17,10</sub>	0.1	0.9	1	1
F <sub>18,6</sub>	F <sub>18,10</sub>	0.2	0.8	1	1
F <sub>19,6</sub>	F <sub>19,10</sub>	0.3	0.7	1	1
F <sub>20,6</sub>	F <sub>20,10</sub>	0.2	0.8	1	1

Two performance indicators are calculated from table 11:

- 5 (a) the Recall Rate for all samples: = Number correct classified / total sample size = 19 / 20 = 0.95; and (b) the Sharpness as the difference between the class memberships. In the instance that a sample is misclassified, the difference between the membership values is 0. (If more than 2 classes are defined the
- 10

sharpness is calculated as the difference between the two highest membership values.)

$$\text{Sharpness} = (0.8 - 0.2) + 0.0 + (0.9 - 0.1) + \dots + (0.7 - 0.3) + (0.9 - 0.1) + \dots + (0.8 - 0.2) / 20.0 = 0.52$$

- 5 Table 12 gives the result of the evaluation of the combination of features  $F_{z,6}$  and  $F_{z,10}$ .

Table 12

$F_{z,6}$	$F_{z,10}$	95 percent correct in predicting.
-----------	------------	-----------------------------------

- 10 Insofar as the objective is (a) to generate a list of the best m feature combinations rather than (b) to store all evaluated feature combinations, a sorted list (Stack 910) with a specified stack size is updated after the performance check of the combination regarding Table 10 as  
15 previously described.

- The stack in Table 13 represents the situation after the evaluation of all combinations inclusive of the feature combination  $F_{z,8}$  and  $F_{z,9}$ . The features are sorted according  
20 to (a) the Recall Rate and then (b) for several combinations according to their Sharpness where the Recall Rate is identical.



Table 13: Sorted list with a stack size of 10

Pos.	First feature value	Second feature value	Recall Rate	Sharp- ness
1	6	10	0.95	0.52
2	6	7	0.95	0.48
3	4	9	0.90	0.45
4	7	10	0.90	0.42
5	6	9	0.85	0.43
6	5	7	0.85	0.40
7	7	8	0.80	0.39
8	4	8	0.80	0.39
9	2	10	0.80	0.37
10	5	9	0.75	0.35

5

After calculating the performance of the next combination  $F_{z,8}$  and  $F_{z,10}$  (Table 14) the stack is updated if the performance is superior to the performance of the last entry in the stack. In the example the current feature combination  $F_{z,8}$  and  $F_{z,10}$  is ranked at position 5 and the old position 10 falls out of the Stack. (Table 15).

10

Table 14: Current evaluation:

15

First feature value	Second feature value	Recall Rate	Sharp- ness
8	10	0.90	0.42

Table 15: Updated list after evaluation feature combination 8|10.

Pos.	First feature value	Second feature value	Recall Rate	Sharpness
1	6	10	0.95	0.52
2	6	7	0.95	0.48
3	4	9	0.90	0.45
4	7	10	0.90	0.42
5	8	10	0.90	0.42
6	6	9	0.85	0.43
7	5	7	0.85	0.40
8	7	8	0.80	0.39
9	4	8	0.80	0.39
10	2	10	0.80	0.37

5

Table 16: Stack after testing all combination with two features.

Pos.	First feature value	Second feature value	Recall Rate	Sharpness
1	6	10	0.95	0.52
2	6	7	0.95	0.48
3	4	9	0.90	0.43
4	7	10	0.90	0.42
5	8	10	0.90	0.40
6	6	9	0.85	0.43
7	5	7	0.85	0.40
8	9	10	0.80	0.41
9	7	8	0.80	0.39
10	4	8	0.80	0.39

10 Proceeding now to Step 3, all combinations which are  
 stored in table 16 (the best 10 pairs) are successively  
 combined with all features not previously included in this  
 pairing of features. Features for which low measures of  
 quality have been calculated in the appraisal of the  
 15 feature pairs can thus be re-included in the selection

process. Tables 17-19 show phases in Step 3 consideration for three Features.

Table 17: All possible combination of the best pair  $F_{z,6}$ ,  $F_{z,10}$  with all available features.

5	6		10		1	$F_{z,6}$ , $F_{z,10}$ , and $F_{z,1}$
	6		10		2	$F_{z,6}$ , $F_{z,10}$ , and $F_{z,2}$
	6		10		3	$F_{z,6}$ , $F_{z,10}$ , and $F_{z,3}$
	6		10		4	$F_{z,6}$ , $F_{z,10}$ , and $F_{z,4}$
	6		10		5	$F_{z,6}$ , $F_{z,10}$ , and $F_{z,5}$
10	6		10		7	$F_{z,6}$ , $F_{z,10}$ , and $F_{z,7}$
	6		10		8	$F_{z,6}$ , $F_{z,10}$ , and $F_{z,8}$
	6		10		9	$F_{z,6}$ , $F_{z,10}$ , and $F_{z,9}$

Table 18: Possible combinations of the stack pairs with all available features.

	1.	6		10		(1, 2, 3, 4, 5, 7, 8, 9)
	2.	6		7		(1, 2, 3, 4, 5, 8, 9)
	3.	4		9		(1, 2, 5, 6, 7, 8, 10)
	4.	7		10		(1, 2, 3, 5, 8, 9)
20	5.	8		10		(1, 2, 3, 4, 5, 9)
	6.	6		9		(1, 2, 3, 4, 8, 10)
	7.	5		7		(1, 2, 3, 4, 8, 9, 10)
	8.	9		10		(1, 2, 3, 4, 5)
	9.	7		8		(1, 2, 3, 4, 9, 10)
25	10.	4		8		(1, 2, 3, 9, 10)

Table 19: Stack after testing all combination with three features.

Pos.	First feature value	Second feature value	Third feature value	Recall Rate	Sharp- ness
1	6	10	5	1.00	0.60
2	6	10	9	1.00	0.58
3	6	10	7	0.95	0.56
4	6	7	3	0.95	0.52
5	6	7	9	0.95	0.50
6	6	10	5	0.90	0.50
7	4	9	5	0.90	0.48
8	6	10	7	0.90	0.47
9	4	9	6	0.85	0.49
10	6	7	8	0.85	0.48

5

If the algorithm selects more than three features, the process is repeated (Step 3). A criteria is used to either end the process and accept a set of feature combinations or to enhance the feature set to four, five, six, etc.

10 features until an acceptable level of membership prediction is achieved.

Variation of the stack size is a tuning parameter for the system. In this regard, and due to the linear effect of the stack size, the computing time can be shortened considerably by reducing the list length. For example, at a stack size = 10, only the 10 best individual features are used in the second stage to form new feature combinations. However, as these are again combined with all N' features, all features will continue to take part in the selection process, even if they do not belong to the best individual features. As quality in stack performance and the respective stack size tentatively depends considerably on the particular problem instance, a recommendation can, of course, only be given via the

selection of the parameter list length (number of solutions to be pursued). However, as a general rule, according to the experience of the inventors, a sensible compromise between optimization of the computing time and  
5 the finding of a sub-optimum set of features is achieved with a stack size of preferably between 20 and 50 feature candidate combinations.

End of Example 2

10

Figure 20 presents detail in the neural network (NN) method of classifying and in evolutionary feature selection. Evolutionary Feature Selection Process 1900 shows a process of use for the evolutionary feature  
15 selection process; the classifier used is a neural network, but, in an alternative embodiment, the weighted distance classifier described in Progressive Feature Selection Process 1800 is used along with the evolutionary selection process. In Neural Network Initiation Step  
20 1902, a particular neural network for use with a sample signal set given a primer configuration and the number of layers and neurons per layer are defined. In Neural Network Initial Fitness Step 1904, an initial feature set is defined to establish the scope of the network, and  
25 fitness of the neural network is evaluated against the initial feature set. In Neural Network Configuration Decision 1906, the fitness of Neural Network Initial Fitness Step 1904 is examined against a performance threshold to define acceptability of the neural network  
30 configuration. If the decision result is NO, Neural Network Configuration Decision 1906 terminates to Neural Network Reconfiguration Step 1908. If the decision result is YES, Neural Network Configuration Decision 1906 terminates to Primary Random Feature Set Generation Step

1910. In Neural Network Reconfiguration Step 1908, if the fitness of Neural Network Configuration Decision 1906 is insufficient, the neural network configuration is examined and modifications are proposed. If the result of Feature Set Size Decision 1926 is YES, the feature set size is decreased and the neural network configuration is examined and modifications are proposed. NN Reconfiguration Step 1908 then terminates to Neural Network Initiation Step 1902 for modification of the neural network configuration.

In Primary Random Feature Set Generation Step 1910, following acceptability of the neural network configuration in Neural Network Configuration Decision 1906, feature subsets are generated using random feature selection. In Feature Set Ranking Step 1912, each feature subset is used (a) to train the neural network and derive a weighting matrix and then (b) to use the particular derived weighting matrix parameter instance in Neural Network Parameter Instance 912 to evaluate the sample vectors in predicting their memberships. The feature subsets are then ranked according to their prediction capability. In Feature Set Decision 1914, each new feature subset is evaluated for classification prediction fitness. If sufficient fitness prediction is not achieved by any feature set, then the process proceeds to Feature Subgroup Selection Step 1918. If sufficient fitness prediction is achieved by any feature set, then the process proceeds to Neural Network Feature Set Acceptance Step 1916; and the feature set defines the (sub-optimal) feature combination for use in NN Real-Time Parameters 914 for the particular signal. In Feature Subgroup Selection Step 1918, a best-performing subgroup of the ranked feature subsets of Feature Set Ranking Step 1912 are selected for further modification; each of these feature subsets in the subgroup is referred to as a "parent

individual". In Feature Subgroup Crossover Step 1920, "parent individuals" exchange certain features to define "new individuals" - this process is termed as "crossover". In Feature Subgroup Mutation Step 1922, the "new  
5 individuals" of Feature Subgroup Crossover Step 1920 are further modified as to features by exchanging a specific number of features which were not included in the initial set of features evaluated in the feature subsets of 1912 with features in the "new individuals" - this process is  
10 termed as "mutation". In Feature Set Reconfiguration Step 1924, the inferior-performing subgroup of the ranked feature subsets of Feature Set Ranking Step 1912 are replaced with the "new individuals" so that a new set of feature subsets (the "parent individuals" and the "new  
15 individuals") is available. The generation counter is then incremented to designate a new generation of feature subsets for consideration. In Feature Set Size Decision 1926, change in the feature set size in view of the predictive capability of the prior generation is  
20 considered. This decision is determined by operating technician input via Human Interface Logic 412 interfacing or, in an alternative automated embodiment, from interaction with a rule set. If the decision result is NO, Feature Set Size Decision 1926 terminates to Feature  
25 Set Ranking Step 1912. If the decision result is YES, Feature Set Size Decision 1926 terminates to Neural Network Reconfiguration Step 1908.

An example of the evolutionary selection method according  
30 to the preferred embodiments is described in conjunction with reference to Figures 21A, 21B, 21C, and 21D which show evolutionary method steps and data sets 2800; Figures 21A-21D also provide diagrams showing affiliations between

data variables and data values between dataset instances discussed in Example 3.

### Example 3

5

In Step 1, setup of (1) a population size for feature combinations (where each combination is an "individual" in the population), (2) a feature set "gene pool" for the population, and (3) the number of feature "genes" per  
10 "individual" is defined. In this example, the feature "gene pool" has a Maximum Set Size of  $F_{z,1}, \dots, F_{z,10}$ . An opening Minimum Set of 2 features  $F_{z,x} - F_{z,y}$  for each individual is defined. A set of 5 individuals in the population is defined.

15

Respective to notation, "z" is the Object number for a particular individual having a feature set and membership in a class (that is when z is expressed as a numeric value, then  $F_{z,x}$  is considered to have a specific  
20 quantitative value in the example; when z is expressed as the textual "z", then  $F_{z,x}$  is a logically identified variable representing a classifying feature in the example). An Object, therefore, is a feature vector and affiliated class membership value as a combination.

25

Proceeding to Step 2, the 5 individuals (note that the "individuals" of Table 20 are defined at the datalogical level of variables rather than at the level of specific measured Objects) with the selected minimum number of  
30 features (the 2 feature "gene combinations" of Step 1) are defined as a set of feature variables from the feature "gene pool" of  $F_{z,1}, \dots, F_{z,10}$  in a random manner to form Table 20 (further reference to Dataset 2802 of Figure 21A).



Table 20

$F_{Z,1}$	$F_{Z,8}$	Combination 1 – forming Individual 1
$F_{Z,4}$	$F_{Z,10}$	Combination 2 – forming Individual 2
$F_{Z,6}$	$F_{Z,2}$	Combination 3 – forming Individual 3
$F_{Z,3}$	$F_{Z,1}$	Combination 4 – forming Individual 4
$F_{Z,5}$	$F_{Z,9}$	Combination 5 – forming Individual 5

5

In Step 3, the new feature combinations are used in relating to the Learning Data Set (Samples 2804, 2806) in Learning Database 1302 so that prior combined measurements of feature values and membership value combinations are acquired for training a classifier. In this first pass, (the Minimum Set of) 2 features  $F_{Z,x} - F_{Z,y}$  for each individual define a Feature Value Couplet in the Learning Data Set. In this example, essentially the simplest case, 2 measurements (Sample A 2804 and Sample B 2806) from the learning database are recovered showing past human evaluations of two measured situations (the evaluations being expressed quantitatively as Human Expert Membership Values) using Features 1 – 10 respective to a Membership Class A:

$F_{1,1} \dots F_{1,10}$  having a Human Expert Membership Value 1

$F_{2,1} \dots F_{2,10}$  having a Human Expert Membership Value 0

Human Expert Membership Value "1" or "0" indicates, respectively, whether or not the particular Feature Value combination measured instance (the Feature Value Couplet

of this first pass) belongs to Class A. Two Objects in the database (note again that each  $F_{x,y}$  represents a quantitative value from a feature respective to a sample from the learning database) are read into the evolutionary selection method. Note again that only two feature values of the possible 10 in any one sample Object are used in this first evaluation.

Proceeding to Step 4, "weight adaptation" is performed to associate (a) data values from learning with (b) the combinations of features identified from random selection. Reviewing Steps 2 and 3, Table 20 was used to define all relevant feature values; then each relevant class membership is also affiliated with each Feature Value couplet respective to the learning database as shown (see Table 21 and Dataset 2808 of Figure 21A for the Feature Value Couplets of this first pass with their associated Human Expert Membership Values). A consideration of the connections between Dataset 2802, Dataset 2808, and Learning Database 1302 in Figure 21A shows datalogical nexus in this regard. In performing "weight adaptation" in this first pass, the neural network is trained respective to all of the Feature Value Couplets and their affiliated Membership Values shown in Table 21; or, alternatively, the Weighted Distance Classifier has a set of eigenvalues and eigenvectors defined respective to all the Feature Value Couplets and their affiliated Membership Values shown in Table 21 and Dataset 2808. The Neural Net, then, is trained according to the values of Table 21; or, alternatively, the Weighted Distance Classifier is trained according to the values of Table 21. The training step is shown in Figure 21A as Derive Classifier Operation 2810. Derive Classifier Operation 2810 obtains values from Column 2812, Column 2814, and Column 2816 of Dataset

2808 (note that, even as the columns are conveniently identified, the system continues to relate to each Object, or effective row across all columns referenced, as a related data entity for use in classification).

5 Table 21

First Feature Value	Second Feature Value	Membership Value Measured from Human Expert Input
F <sub>1,1</sub>	F <sub>1,8</sub>	1
F <sub>2,1</sub>	F <sub>2,8</sub>	0
F <sub>1,4</sub>	F <sub>1,10</sub>	1
F <sub>2,4</sub>	F <sub>2,10</sub>	0
F <sub>1,6</sub>	F <sub>1,2</sub>	1
F <sub>2,6</sub>	F <sub>2,2</sub>	0
F <sub>1,3</sub>	F <sub>1,1</sub>	1
F <sub>2,3</sub>	F <sub>2,1</sub>	0
F <sub>1,5</sub>	F <sub>1,9</sub>	1
F <sub>2,5</sub>	F <sub>2,9</sub>	0

In Step 5, either (1) the trained Neural Network or,  
 10 alternatively, (2) the trained Weighted Distance Classifier is used to generate Predicted Membership Values according to the quantitative Feature Value Couplets of Table 21. This is shown as Derive Predicted Membership Values Operation 2818 in Figure 21A. In this regard,  
 15 values from Column 2812 and Column 2814 of Dataset 2808 are read into Operation 2818 along with the Classifier Reference Instance (918, 912) derived in Operation 2810. Comparison of the Predicted Membership Value defined by the trained NN (trained WDC) to the Human Expert  
 20 Membership Value originally measured is then performed. This is shown figuratively in Table 22 and in Dataset 2820 of Figure 21B. Note that Dataset 2820 acquires its values from Column 2812, Column 2814, and Column 2816 of Dataset 2808 and also from Operation 2818 (note again that, even

as the columns are conveniently identified, the system continues to relate to each Object, or effective row across all columns referenced, as a related data entity for use in classification).

5

Table 22

First Feature Value	Second Feature Value	Membership Value Predicted from using trained classifier (note these are examples of what the newly- trained classifier defines as a Membership Value set)	Membership Value Measured from Human Expert Input (Table 21 value)
F <sub>1,1</sub>	F <sub>1,8</sub>	1	1
F <sub>2,1</sub>	F <sub>2,8</sub>	1	0
F <sub>1,4</sub>	F <sub>1,10</sub>	0	1
F <sub>2,4</sub>	F <sub>2,10</sub>	1	0
F <sub>1,6</sub>	F <sub>1,2</sub>	1	1
F <sub>2,6</sub>	F <sub>2,2</sub>	0	0
F <sub>1,3</sub>	F <sub>1,1</sub>	1	1
F <sub>2,3</sub>	F <sub>2,1</sub>	0	0
F <sub>1,5</sub>	F <sub>1,9</sub>	0	1
F <sub>2,5</sub>	F <sub>2,9</sub>	1	0

From examination of Table 22 and Dataset 2820, conclusions (shown in Table 23) about the classification usefulness of individuals of Table 20 are drawn respective to the proposed plan of randomly-defined Table 20; these conclusions are based upon the performance (in this first pass) of the Feature Value Couplets and affiliated Membership Values recovered as Objects from the Learning Database according to the defined individuals of Table 20 when used by the classifier deployed.

Table 23

F <sub>Z,1</sub>	F <sub>Z,8</sub>	50 percent correct in predicting since, as shown in Table 22, one sample was properly classified and one sample was not properly classified
F <sub>Z,4</sub>	F <sub>Z,10</sub>	0 percent correct in predicting since, as shown in Table 22, both samples were improperly classified
F <sub>Z,6</sub>	F <sub>Z,2</sub>	100 percent correct in predicting since, as shown in Table 22, each sample was properly classified
F <sub>Z,3</sub>	F <sub>Z,1</sub>	100 percent correct in predicting since, as shown in Table 22, each sample was properly classified
F <sub>Z,5</sub>	F <sub>Z,9</sub>	0 percent correct in predicting since, as shown in Table 22, both samples were improperly classified

5

In Step 6, the five individuals of Table 20 are ranked according to their performance in predictive classification. Table 23 now is rearranged into Table 24. Dataset 2822 of Figure 21B also shows the data arrangement of Table 24. In tracing the data-linkages shown between Dataset 2820 and Dataset 2822, the specific considerations of the conclusive (rightmost column) column of Table 24 and Dataset 2822 respective to the data in Table 22 (Dataset 2820) are demonstrated. Note that Table 23 is not shown as a dataset in the Figures.

10

15

Table 24

F <sub>Z,6</sub>	F <sub>Z,2</sub>	100 percent correct in predicting since, as shown in Table 22, each sample was properly classified
F <sub>Z,3</sub>	F <sub>Z,1</sub>	100 percent correct in predicting since, as shown in Table 22, each sample was properly classified
F <sub>Z,1</sub>	F <sub>Z,8</sub>	50 percent correct in predicting since, as shown in Table 22, one sample was properly classified and one sample was not properly classified
F <sub>Z,5</sub>	F <sub>Z,9</sub>	0 percent correct in predicting since, as shown in Table 22, both samples were improperly classified
F <sub>Z,4</sub>	F <sub>Z,10</sub>	0 percent correct in predicting since, as shown in Table 22, both samples were improperly classified

Proceeding now to Step 7, two of the combinations (individuals) of Table 20 are selected for generation of "children" in a set of two operations termed "crossover" and "mutation"; in this regard, and in the context of the definition of new "children", the two chosen individuals of Table 20 are referenced as "parents". The process is further shown in Figure 21C. Figure 21C reprises Dataset 2802. In example, the  $F_{z,6} - F_{z,2}$  combination is randomly chosen and the  $F_{z,5} - F_{z,9}$  combination is also randomly chosen (note, in spite of the fact that an "individual" may have been a "poor performer" in the prediction evaluation, the "individual" is still valid as a "parent" for creating a "child" for the system). Dataset 2826 shows the 2 parent features sets in Figure 21C and the random choosing action is denoted as Operation 2824. In the crossover process itself (Step 8 and also indicated as Crossover 2828 in Figure 21C) the  $F_{z,5} - F_{z,9}$  and the  $F_{z,6} - F_{z,2}$  features are exchanged. In crossing over, a feature "gene" from each of two randomly selected "parents" in Table 20 is used as one of each of the child feature "genes" (an examination of the datalinkages between Datasets 2830 and 2832 as they influence Datasets 2834 and 2836 further clarifies the crossover operation). The Table 20 "generation" has now become the Table 25 "generation" insofar as two "children" have been added to the original population of individuals of Table 20.

Table 25

$F_{z,1}$	$F_{z,8}$	Individual 1
$F_{z,4}$	$F_{z,10}$	Individual 2
$F_{z,5}$	$F_{z,2}$	Individual 3 – a child of Table 20 parents $F_{z,5} - F_{z,9}$ and $F_{z,6} - F_{z,2}$
$F_{z,3}$	$F_{z,1}$	Individual 4
$F_{z,5}$	$F_{z,9}$	Individual 5 (a parent)
$F_{z,6}$	$F_{z,2}$	Individual 6 (a parent)
$F_{z,6}$	$F_{z,9}$	Individual 7 – a child of Table 20 parents $F_{z,5} - F_{z,9}$ and $F_{z,6} - F_{z,2}$

5 In Step 9, mutation of the new children of the Table 25 generation is performed (see Mutation Operations 2846 in Figure 21C). In this regard, one of Features  $F_{z,1}$  to  $F_{z,10}$  which is not one of the feature "genes" of the new children in the generation of Table 24 is randomly

10 selected for use in substitution (in each child) for a feature gene directly inherited from one of the parents in Operations 2838 and 2840. Operations 2842 and 2844 then execute to randomly discard one gene from each Child (Datasets 2834 and 2836, with the discarded feature

15 "genes" shown as Blanks 2856 and 2858 of respective Datasets 2848 and 2850). The Features selected for substitution are then substituted the discarded feature "genes" (Blanks 2856 and 2858) in the children of Table 25. In example, Individual 7 is mutated to replace  $F_{z,6}$

20 with  $F_{z,7}$ , and Individual 3 is mutated to replace  $F_{z,2}$  with  $F_{z,4}$  (see the movements from Dataset 2848 and 2850 into Datasets 2852 and 2854 with the inclusion of the features selected in Operations 2838 and 2840). The Table 25 "generation" has now mutated into the Table 26 (Dataset

25 2856) "generation". The combination of Datasets 2802, 2852, and 2854 into Dataset 2856 is diagrammed in Figure 21D.

Table 26

$F_{Z,1}$	$F_{Z,8}$	Individual 1
$F_{Z,4}$	$F_{Z,10}$	Individual 2
$F_{Z,5}$	$F_{Z,4}$	Individual 3 – a now mutated child of Table 20 parents $F_{Z,5}$ – $F_{Z,9}$ and $F_{Z,6}$ – $F_{Z,2}$
$F_{Z,3}$	$F_{Z,1}$	Individual 4
$F_{Z,5}$	$F_{Z,9}$	Individual 5 (a parent)
$F_{Z,6}$	$F_{Z,2}$	Individual 6 (a parent)
$F_{Z,7}$	$F_{Z,9}$	Individual 7 – a now mutated child of Table 20 parents $F_{Z,5}$ – $F_{Z,9}$ and $F_{Z,6}$ – $F_{Z,2}$

5 In Step 10, which can be termed "survival of the most fit", the two worst-performing individuals of Table 20 ( $F_{Z,4}$  –  $F_{Z,10}$  &  $F_{Z,5}$  –  $F_{Z,9}$ ) are replaced by the two new mutated children of Table 26 in Operation 2858; put another way, since only 5 combinations (individuals) are

10 permitted in the performing population of a particular "generation", a new Table for evaluation is defined from the three best performing "old folks" of Table 20 and the 2 new "mutated children" (who are too "young and untested" to be designated as either good or bad performers yet, but

15 who are presumed to have predictive potential until tested otherwise) of Table 26. The process is further appreciated from the diagram of Figure 21D which shows Dataset 2856 modified by Operation 2858 to remove individuals  $F_{Z,4}$  –  $F_{Z,10}$  &  $F_{Z,5}$  –  $F_{Z,9}$  according to the inputs

20 of reprised Dataset 2822. The removal of individuals  $F_{Z,4}$  –  $F_{Z,10}$  &  $F_{Z,5}$  –  $F_{Z,9}$  is shown with respective Remove 2860 and Remove 2862 designators. The other individuals of Database 2822 are retained according to designator Retain 2864. The new Table for evaluation is shown as Table 27

25 and as Dataset 2866: